

AGENDA
REGULAR CITY COUNCIL MEETING
COUNCIL CHAMBERS
5000 CLARK AVENUE
LAKEWOOD, CALIFORNIA

November 12, 2013, 7:30 p.m.

CALL TO ORDER

INVOCATION: Pastor Brent Eldridge, First Baptist Church of Lakewood

PLEDGE OF ALLEGIANCE: Cub Scout Pack 62

ROLL CALL: Mayor Steve Croft
Vice Mayor Todd Rogers
Council Member Diane DuBois
Council Member Ron Piazza
Council Member Jeff Wood

ANNOUNCEMENTS AND PRESENTATIONS:

Presentation by Lakewood Regional Medical Center Regarding Path to Health

ROUTINE ITEMS:

All items listed within this section of the agenda are considered to be routine and will be enacted by one motion without separate discussion. Any Member of Council may request an item be removed for individual discussion or further explanation. All items removed shall be considered immediately following action on the remaining items.

RI-1 Approval of Minutes of the Meeting held October 22, 2013

RI-2 Approval of Personnel Transactions

RI-3 Approval of Registers of Demands

RI-4 Approval of Request to Change Operational Setting on Emergency Interconnection with Golden State Water Company

RI-5 Approval of Quarterly Schedule of Investments

RI-6 Approval of Monthly Report of Investment Transactions

RI-7 Acceptance of Notice of Completion for Public Works Contract No. 2013-02, Arbor Yard Office Building Lighting Replacement Project, J. Kim Electric, Inc.

PUBLIC HEARINGS:

1.1 Second Reading and Adoption of Ordinance No. 2013-8; Pertaining to Electronic Cigarettes and Similar Devices

City Council Agenda

November 12, 2013

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LEGISLATION:

- 2.1 Introduction of Ordinance No. 2013-9; Amending the Lakewood Municipal Code Pertaining to Location Requirements for Tobacco and Nicotine Product Sales
- 2.2 Second Reading and Adoption of Ordinance No. 2013-7; Regarding Low Impact Development (LID)

AGENDA LAKEWOOD SUCCESSOR AGENCY

1. Approval of Register of Demands

AGENDA LAKEWOOD HOUSING SUCCESSOR AGENCY

1. Approval of Register of Demands

ORAL COMMUNICATIONS:

ADJOURNMENT

Any qualified individual with a disability that would exclude that individual from participating in or attending the above meeting should contact the City Clerk's Office, 5050 Clark Avenue, Lakewood, CA, at 562/866-9771, ext. 2200; at least 48 hours prior to the above meeting to ensure that reasonable arrangements can be made to provide accessibility to the meeting or other reasonable auxiliary aids or services may be provided.

Copies of staff reports and other writings pertaining to this agenda are available for public review during regular business hours in the Office of the City Clerk, 5050 Clark Avenue, Lakewood, CA 90712

Routine Items

Routine Item 1 - City Council Minutes
will be available prior to the meeting

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COUNCIL AGENDA

November 12, 2013

TO The Honorable Mayor and City Council**SUBJECT** Report of Personnel Transactions

<u>Name</u>	<u>Title</u>	<u>Schedule</u>	<u>Effective Date</u>
1 FULL-TIME EMPLOYEES			
A. Appointments			
Kimberly McDowell	Senior Project Manager	36B	10/04/2013
B. Changes			
Nancy Hitt	Community Services Supervisor	24B to	10/13/2013
	Community Services Manager	31B	
Caitlin Stevens	Community Services Specialist	B to	10/27/2013
	Community Services Supervisor	24B	
C. Separations			
None			
2. PART-TIME EMPLOYEES			
A. Appointments			
None			
B. Changes			
None			
C. Separations			
Camerina Duran	Support Services Clerk I	B	10/23/2013
Angelica Roman	Community Services Leader II	B	09/30/2013

Lisa Novotny
Assistant City ManagerHoward L. Chambers
City Manager

DIVIDER SHEET

**CITY OF LAKEWOOD
FUND SUMMARY 10/24/2013**

In accordance with section 2521 of the Lakewood Municipal Code there is presented herewith a summary of obligations to be paid by voucher 53652 through 53777 Each of the following demands has been audited by the Director of Administrative Services and approved by the City Manager

1010	GENERAL FUND	899,218 16
1030	CDBG CURRENT YEAR	1,125 00
1050	COMMUNITY FACILITY	14 408.28
1070	RETIREE MEDICAL	287 00
1336	STATE COPS GRANT	10 968 75
3070	PROPOSITION "C"	45 39
5010	GRAPHICS AND COPY CENTER	461 40
5020	CENTRAL STORES	2,353 59
5030	FLEET MAINTENANCE	6 409 60
6020	GEOGRAPHIC INFORMATION SYSTEM	2,760 00
7500	WATER UTILITY FUND	102,174 09
8020	LOCAL REHAB LOAN	24 964 30
8030	TRUST DEPOSIT	3,262.68
		<hr/>
		1,068,438.24

Council Approval

Date

City Manager

Attest

City Clerk

Director of Administrative Services

CITY OF LAKEWOOD

SUMMARY CHECK REGISTER

CHECK #	CHECK DATE	VEND #	VENDOR NAME	GROSS	DISC.	CHECK AMOUNT
53652	10/22/2013	64024	U S POSTAL SERVICE	9,720.83	0 00	9,720 83
53653	10/24/2013	36591	AARDVARK CLAY & SUPPLIES INC	2,294 45	0 00	2,294 45
53654	10/24/2013	66011	ACTIVE NETWORK INC	7,797.35	0 00	7,797.35
53655	10/24/2013	51209	AGUINAGA GREEN INC	713.95	0 00	713.95
53656	10/24/2013	2701	AIRE RITE A/C & REFRIGERATION INC	171 72	0 00	171 72
53657	10/24/2013	4208	AIRGAS INC	280 66	0 00	280.66
53658	10/24/2013	36885	SPECIALTY ALLIED MUFFLER OF BELLFLOWER IN	268.00	0 00	268 00
53659	10/24/2013	3791	AMERICAN GROUND WATER TRUST	400 00	0 00	400 00
53660	10/24/2013	4382	AMERICAN PAPER PLASTIC SERVICES INC	270 87	0 00	270.87
53661	10/24/2013	58000	AMERICAN TRUCK & TOOL RENTAL INC	285 79	0 00	285 79
53662	10/24/2013	66012	BARTKUS. KRISTIN	1,288.95	0 00	1,288.95
53663	10/24/2013	36686	C A L E D	570 00	0 00	570 00
53664	10/24/2013	53835	C.P.R.S. DIST X	60 00	0 00	60 00
53665	10/24/2013	51416	CALIF DEPT OF HEALTH	38,042.58	0 00	38,042.58
53666	10/24/2013	4489	CARA. LINDA AND	10,290 00	0 00	10,290 00
53667	10/24/2013	62164	CARD INTEGRATORS CORP	108.10	0 00	108 10
53668	10/24/2013	4270	CARROLL MEGAN J	1,212.50	0 00	1,212.50
53669	10/24/2013	3864	CBM SERVICES INC	2,020.00	0 00	2,020 00
53670	10/24/2013	988	CDW LLC	206.64	0.00	206 64
53671	10/24/2013	7600	CENTRAL BASIN WATER ASSN	23 75	0 00	23 75
53672	10/24/2013	7600	CENTRAL BASIN WATER ASSN	50.00	0 00	50 00
53673	10/24/2013	7800	CERRITOS CITY	4,475 63	0 00	4,475 63
53674	10/24/2013	45894	CINTAS CORPORATION	61.86	0 00	61 86
53675	10/24/2013	1203	COLLICUTT ENERGY SERVICES	945.22	0 00	945.22
53676	10/24/2013	4100	COMPUTER 1 PRODUCTS OF AMERICA	2,752.00	0 00	2,752.00
53677	10/24/2013	4380	CAPITAL ONE NATIONAL ASSOCIATION	348.69	0 00	348.69
53678	10/24/2013	62407	CRN AM CAR WASH INC.	54 00	0 00	54 00
53679	10/24/2013	4442	DANIEL'S TIRE SERVICE INC	148.31	0 00	148.31
53680	10/24/2013	53283	EBERHARD EQUIPMENT	87 45	0 00	87 45
53681	10/24/2013	4435	ELLIOTT AUTO SUPPLY COMPANY INC	239 15	0 00	239 15
53682	10/24/2013	65038	FED EX OFFICE & PRINT SVCS INC	65.24	0 00	65.24
53683	10/24/2013	4092	FINELINE ELECTRIC & CABLING INC	13,870 00	0.00	13,870 00
53684	10/24/2013	63519	FLUE STEAM INC	153.80	0 00	153.80
53685	10/24/2013	4289	FRAZIER. ROBERT C	32.50	0 00	32.50
53686	10/24/2013	3188	GALLS LLC/OUARTEMASTER LLC	81 72	0 00	81 72
53687	10/24/2013	34845	GLASBY MAINTENANCE SUPPLY CO	156.60	0 00	156.60
53688	10/24/2013	64215	GOLD COAST AWARDS INC	93 63	0 00	93 63
53689	10/24/2013	65779	GOLDEN STATE WATER COMPANY	6,225 63	0.00	6,225 63
53690	10/24/2013	4331	GOODYEAR TIRE & RUBBER COMPANY	488.87	0 00	488.87
53691	10/24/2013	38311	H & H NURSERY	1,733.92	0 00	1,733.92
53692	10/24/2013	65575	HAP'S AUTO PARTS	34 46	0 00	34 46
53693	10/24/2013	35477	HARA M LAWNMOWER CENTER	218.45	0 00	218 45
53694	10/24/2013	34354	HI-WAY SAFETY RENTALS INC	293 05	0 00	293 05
53695	10/24/2013	42031	HOME DEPOT	150.52	0 00	150.52

CITY OF LAKEWOOD

SUMMARY CHECK REGISTER

CHECK #	CHECK DATE	VEND #	VENDOR NAME	GROSS	DISC.	CHECK AMOUNT
53696	10/24/2013	65891	HUMAN SERVICES ASSOCIATION	375 00	0 00	375 00
53697	10/24/2013	64040	ISA	170 00	0 00	170 00
53698	10/24/2013	4180	JONES RICHARD D A PROF LAW CORP	1,155 00	0 00	1,155 00
53699	10/24/2013	607	KNIGHTSBRIDGE ARCHITECTURAL PRODUCTS	821.24	7.64	813 60
53700	10/24/2013	2822	LAGERLOF SENEAL GOSNEY &	7,251.54	0 00	7,251.54
53701	10/24/2013	18550	LAKEWOOD CITY OF	398.28	0.00	398.28
53702	10/24/2013	18550	LAKEWOOD CITY OF	25 00	0 00	25 00
53703	10/24/2013	18400	LAKEWOOD CITY WATER DEPT	15,662.04	0 00	15,662.04
53704	10/24/2013	43017	LARSEN. DEBRA	92.31	0 00	92.31
53705	10/24/2013	4238	LEOMITI. ALIKA	62.40	0 00	62.40
53706	10/24/2013	2409	LIFTECH ELEVATOR SERVICES INC	327 00	0 00	327 00
53707	10/24/2013	20300	LONG BEACH CITY GAS & WATER DEPT	229.35	0 00	229.35
53708	10/24/2013	20950	LOS ANGELES CO ASSESSOR	8.00	0.00	8 00
53709	10/24/2013	21600	LOS ANGELES CO SHERIFFS DEPT	728,364.93	0 00	728,364.93
53710	10/24/2013	45069	LOS ANGELES CO/DEPT PW BLDG SVCS	68,898.34	0 00	68,898.34
53711	10/24/2013	36844	LOS ANGELES COUNTY DEPT OF PUBLIC WORKS	2,864 40	0 00	2,864 40
53712	10/24/2013	4224	MATT-CHLOR INC	19.87	0 00	19.87
53713	10/24/2013	46696	MEYER & ASSOCIATES	8,055 00	0 00	8,055 00
53714	10/24/2013	4446	MIDAMERICA ADMIN & RETIREMENT SOLUTIONS	287 00	0 00	287 00
53715	10/24/2013	57391	MINI COACH INC	700.00	0 00	700 00
53716	10/24/2013	47481	N R P A	159.00	0 00	159 00
53717	10/24/2013	1028	NETWORK INNOVATION ASSOCIATES INC	3,336 00	0 00	3,336 00
53718	10/24/2013	4443	O'REILLY AUTOMOTIVE STORES INC	1,087 62	3.38	1,084.24
53719	10/24/2013	47554	OFFICE DEPOT BUSINESS SVCS	251.53	0 00	251.53
53720	10/24/2013	64479	OFFICE MAX - A BOISE COMPANY	330 12	0.00	330 12
53721	10/24/2013	4509	PACHECO ARVIN & ELIZABETH	528.20	0 00	528.20
53722	10/24/2013	4497	PACIFIC COACHWAYS CHARTER SERVICES INC	1,000 00	0 00	1,000 00
53723	10/24/2013	63708	DY-JO CORPORATION	1,670 00	0 00	1,670 00
53724	10/24/2013	3509	PARDESS AIR INC	9,262.50	0 00	9,262.50
53725	10/24/2013	50512	PATHWAYS VOLUNTEER HOSPICE	750 00	0 00	750 00
53726	10/24/2013	1615	PFM ASSET MANAGEMENT LLC	2,977.33	0 00	2,977.33
53727	10/24/2013	4321	POWERTECH ENGINES INC	526.93	0 00	526.93
53728	10/24/2013	923	SCHAD CATHERINE	169.92	0 00	169.92
53729	10/24/2013	240	SGS TESTCOM	3 10	0 00	3 10
53730	10/24/2013	52279	SMART & FINAL INC	271.50	0 00	271.50
53731	10/24/2013	29400	SOUTHERN CALIFORNIA EDISON CO	53,360.39	0 00	53,360.39
53732	10/24/2013	29500	SOUTHERN CALIFORNIA GAS CO	4,215 60	0 00	4,215 60
53733	10/24/2013	4026	SPASEFF TED C	400 00	0 00	400 00
53734	10/24/2013	49529	SPICERS PAPER INC	465 67	4.27	461 40
53735	10/24/2013	59693	SPRINT SPECTRUM LP	3,143 63	0 00	3,143 63
53736	10/24/2013	3870	SPROUTING ARTISTS. INC	374 40	0 00	374 40
53737	10/24/2013	55947	STOVER SEED COMPANY	1,429 00	0 00	1,429 00
53738	10/24/2013	38679	WESTERN EXTERMINATOR COMPANY	719.59	0 00	719.59
53739	10/24/2013	4506	TATE. FLORENCE AND	14,146 10	0 00	14,146 10

CITY OF LAKEWOOD

SUMMARY CHECK REGISTER

CHECK #	CHECK DATE	VEND #	VENDOR NAME	GROSS	DISC.	CHECK AMOUNT
53740	10/24/2013	2372	TGIS CATERING SVCS INC	1,080.00	0.00	1,080.00
53741	10/24/2013	4364	THE RINKS-LAKEWOOD ICE	159.90	0.00	159.90
53742	10/24/2013	60685	TURF STAR	1,549.56	0.00	1,549.56
53743	10/24/2013	4480	TYLER BUSINESS FORMS	434.48	0.00	434.48
53744	10/24/2013	31800	U S POSTMASTER	1,000.00	0.00	1,000.00
53745	10/24/2013	52240	ULI - URBAN LAND INSTITUTE	215.00	0.00	215.00
53746	10/24/2013	1682	VELOCITY AIR ENGINEERING INC	9,891.72	0.00	9,891.72
53747	10/24/2013	60430	VERIZON CALIFORNIA INC	79.99	0.00	79.99
53748	10/24/2013	49792	VILLAGE NURSERIES	605.83	0.00	605.83
53749	10/24/2013	17640	WAXIE ENTERPRISES INC	1,216.33	0.00	1,216.33
53750	10/24/2013	41559	WEIGHT WATCHERS	197.78	0.00	197.78
53751	10/24/2013	62628	WELLS C. PIPELINE MATERIALS	1,509.65	0.00	1,509.65
53752	10/24/2013	37745	WESTERN EXTERMINATOR CO	334.00	0.00	334.00
53753	10/24/2013	50058	WHITE HOUSE FLORIST INC	607.13	0.00	607.13
53754	10/24/2013	2145	WYNN. LAKYN	39.00	0.00	39.00
53755	10/24/2013	3699	BROWN P A & V L	18.85	0.00	18.85
53756	10/24/2013	3699	PABLO BEN	110.42	0.00	110.42
53757	10/24/2013	3699	LITZINGER. JEFFREY & AMIE	171.48	0.00	171.48
53758	10/24/2013	3699	WILSON LINDA & CLARENCE	27.51	0.00	27.51
53759	10/24/2013	3699	ETAAT SHARI	35.93	0.00	35.93
53760	10/24/2013	3699	ALMODOVAR. BELEN	40.00	0.00	40.00
53761	10/24/2013	3699	DAVIS-JENKINS. TERRI	250.00	0.00	250.00
53762	10/24/2013	3699	ELIZONDO JULIA	250.00	0.00	250.00
53763	10/24/2013	3699	ESCALA. BETTY	250.00	0.00	250.00
53764	10/24/2013	3699	FALKENBURG. SUZANNE	79.00	0.00	79.00
53765	10/24/2013	3699	HILL. SALLY	260.00	0.00	260.00
53766	10/24/2013	3699	JOHNSON. NETA	250.00	0.00	250.00
53767	10/24/2013	3699	LOS ANGELES CHURCH OF CHRIST	250.00	0.00	250.00
53768	10/24/2013	3699	MORALES. SERENA	250.00	0.00	250.00
53769	10/24/2013	3699	ORTEGA. FABIOLA	250.00	0.00	250.00
53770	10/24/2013	3699	PEREZ. DANIEL	250.00	0.00	250.00
53771	10/24/2013	3699	PONCE. MACIEL	250.00	0.00	250.00
53772	10/24/2013	3699	SCOTT KAREN	315.00	0.00	315.00
53773	10/24/2013	3699	SWANTEK. VALERIE	268.30	0.00	268.30
53774	10/24/2013	3699	TADROS. GAMAL	250.00	0.00	250.00
53775	10/24/2013	3699	TONGSY PAUL VINCENT	250.00	0.00	250.00
53776	10/24/2013	3699	WILLIAMS. DEBORAH	250.00	0.00	250.00
53777	10/24/2013	21050	LOS ANGELES CO CLERK	75.00	0.00	75.00
Totals:				<u>1,068,453.53</u>	<u>15.29</u>	<u>1,068,438.24</u>

**CITY OF LAKEWOOD ePAYABLES
FUND SUMMARY 10/24/13**

In accordance with section 2521 of the Lakewood Municipal Code there is presented herewith a summary of obligations to be paid by ePayable 40 through 43 Each of the following demands has been audited by the Director of Administrative Services and approved by the City Manager

1010	GENERAL FUND	3,721.44
7500	WATER FUND	8,343.45

12,064.89

Council Approval

Date

City Manager

Attest

City Clerk

Director of Administrative Services

CITY OF LAKEWOOD
SUMMARY ePAYABLES REGISTER

<u>ePAYABLE #</u>	<u>CHECK DATE</u>	<u>VEND #</u>	<u>VENDOR NAME</u>	<u>GROSS</u>	<u>DISC.</u>	<u>CHECK AMOUNT</u>
40	10/24/2013	47854	TRUESDAIL LABORATORIES	1,702.00	0 00	1,702.00
41	10/24/2013	57070	CITY LIGHT & POWER LKWD INC	1,077.54	0.00	1,077.54
42	10/24/2013	65712	IDMODLEING INC	4,105.00	0 00	4,105 00
43	10/24/2013	66457	BRENNTAG PACIFIC INC	5,180.35	0.00	5,180.35
Totals.				<u>12,064.89</u>	<u>0.00</u>	<u>12,064.89</u>

**CITY OF LAKEWOOD
FUND SUMMARY 10/31/2013**

In accordance with section 2521 of the Lakewood Municipal Code there is presented herewith a summary of obligations to be paid by voucher 53778 through 53899. Each of the following demands has been audited by the Director of Administrative Services and approved by the City Manager.

1010	GENERAL FUND	557 437 90
1020	CABLE TV	7 151 53
1050	COMMUNITY FACILITY	6 320 54
3070	PROPOSITION "C"	58 47
5010	GRAPHICS AND COPY CENTER	2,097 15
5020	CENTRAL STORES	2 680 10
5030	FLEET MAINTENANCE	13,437 66
6020	GEOGRAPHIC INFORMATION SYSTEM	161 13
7500	WATER UTILITY FUND	267 09
8020	LOCAL REHAB LOAN	1 492 00
8030	TRUST DEPOSIT	400 65
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		591,504.22

Council Approval

Date

City Manager

Attest

City Clerk

Director of Administrative Services

CITY OF LAKEWOOD

SUMMARY CHECK REGISTER

CHECK #	CHECK DATE	VEND #	VENDOR NAME	GROSS	DISC.	CHECK AMOUNT
53778	10/31/2013	47733	1 DAY PAINT & BODY CENTERS	1,498.59	0 00	1,498.59
53779	10/31/2013	1700	ALLIED REFRIGERATION INC	547.35	0 00	547.35
53780	10/31/2013	41215	AREND DALE	416 00	0 00	416 00
53781	10/31/2013	3874	AVID TECHNOLOGY INC	6,304.81	0 00	6,304.81
53782	10/31/2013	443	B&M LAWN AND GARDEN INC	8,215.56	0 00	8,215.56
53783	10/31/2013	66012	BARTKUS. KRISTIN	2,437.50	0 00	2,437.50
53784	10/31/2013	43808	BELLFLOWER AUTO TRIM & UPHOLSTERY	238.20	0 00	238.20
53785	10/31/2013	48108	BERG. APRIL	822.80	0 00	822.80
53786	10/31/2013	65443	BIO CLEAN ENVIRONMENTAL SERVICES INC	1,117.60	0 00	1,117.60
53787	10/31/2013	62737	BOYES. GOBIND	91.00	0 00	91 00
53788	10/31/2013	1935	BREA. CITY OF	41,440.35	0 00	41,440.35
53789	10/31/2013	307	CALIF STATE DISBURSEMENT UNIT	465.68	0 00	465.68
53790	10/31/2013	51511	CALIFORNIA DEPT OF PUBLIC HEALTH	105.00	0 00	105 00
53791	10/31/2013	53983	CALIFORNIA ST OF -FRANCHISE TAX BOARD	300.00	0 00	300 00
53792	10/31/2013	45894	CINTAS CORPORATION	52.41	0 00	52.41
53793	10/31/2013	4380	CAPITAL ONE NATIONAL ASSOCIATION	369 15	0 00	369 15
53794	10/31/2013	4504	CONCEPT FRAMING INTERNATIONAL LP	4,925 00	0 00	4,925 00
53795	10/31/2013	4442	DANIEL'S TIRE SERVICE INC	127 76	0 00	127 76
53796	10/31/2013	4498	DELTA DENTAL INSURANCE COMPANY	1,574.50	0 00	1,574.50
53797	10/31/2013	56889	DELTA DENTAL OF CALIFORNIA	7,332.29	0 00	7,332.29
53798	10/31/2013	27200	DICKSON R F CO INC	40,203 70	0 00	40,203 70
53799	10/31/2013	4393	DIVISION OF THE STATE ARCHITECT	320 40	0 00	320 40
53800	10/31/2013	3199	EDCO WASTE SERVICES LLC	363,320 66	0 00	363,320 66
53801	10/31/2013	4435	ELLIOTT AUTO SUPPLY COMPANY INC	340.90	0 00	340.90
53802	10/31/2013	59859	FLEET PRIDE	39 00	0 00	39 00
53803	10/31/2013	64215	GOLD COAST AWARDS INC	4,281.53	0 00	4,281.53
53804	10/31/2013	65779	GOLDEN STATE WATER COMPANY	1,128.87	0 00	1,128.87
53805	10/31/2013	56700	GOULD. CHARLIE	95 00	0 00	95 00
53806	10/31/2013	33150	GRAINGER W W INC	407.82	0.00	407 82
53807	10/31/2013	61769	GRAUTEN EVELYN R	334 10	0.00	334 10
53808	10/31/2013	65575	HAP'S AUTO PARTS	135.86	0 00	135.86
53809	10/31/2013	35477	HARA M LAWNMOWER CENTER	1,264 70	0 00	1,264 70
53810	10/31/2013	59486	HERMAN LINDA	225 00	0 00	225 00
53811	10/31/2013	42031	HOME DEPOT	87 74	0 00	87 74
53812	10/31/2013	36589	IMMEDIATE MEDICAL CARE	580 00	0 00	580 00
53813	10/31/2013	65390	IMN (IMAKENEWS INC)	2,629 85	0 00	2,629.85
53814	10/31/2013	49843	INOUE. MICAH EL JOHN	130 00	0 00	130 00
53815	10/31/2013	50740	INTERNAL REVENUE SERVICE	50 00	0 00	50.00
53816	10/31/2013	4458	KIM. YVONNE	20.80	0 00	20.80
53817	10/31/2013	4450	KING. JACK	464 00	0 00	464 00
53818	10/31/2013	65339	KLOTZER. HORST	102.29	0 00	102.29
53819	10/31/2013	18300	LAKEWOOD CHAMBER OF COMMERCE	1,833.33	0 00	1,833.33
53820	10/31/2013	40124	GREATER LAKEWOOD CHAMBER OF COMMERCE	225 00	0 00	225 00
53821	10/31/2013	18550	LAKEWOOD CITY OF	400 65	0 00	400.65

CITY OF LAKEWOOD

SUMMARY CHECK REGISTER

CHECK #	CHECK DATE	VEND #	VENDOR NAME	GROSS	DISC.	CHECK AMOUNT
53822	10/31/2013	44733	LIEBERT CASSIDY WHITMORE	1,409.50	0 00	1,409.50
53823	10/31/2013	4493	LIEU AMY KAPREE	200 00	0.00	200 00
53824	10/31/2013	34532	LITZINGER. PAUL	94.25	0 00	94.25
53825	10/31/2013	51716	LOCATION SOUND CORP	148.01	0 00	148 01
53826	10/31/2013	36844	LOS ANGELES COUNTY DEPT OF PUBLIC WORKS	20,283 43	0.00	20,283 43
53827	10/31/2013	41545	PACIFIC PREMIER RETAIL TRUST	4,862.46	0 00	4,862.46
53828	10/31/2013	3856	MAILFINANCE	473 43	0 00	473 43
53829	10/31/2013	58414	MANAGED HEALTH NETWORK	419.90	0 00	419.90
53830	10/31/2013	65220	STEVEN MAHR PRINTING INC	495.95	0 00	495.95
53831	10/31/2013	46696	MEYER & ASSOCIATES	6,470 00	0 00	6,470 00
53832	10/31/2013	1369	MYERS HOUGHTON & PARTNERS INC	1,862.50	0 00	1,862.50
53833	10/31/2013	64333	MOSES-CALDERA. ISABEL	31.20	0 00	31.20
53834	10/31/2013	60224	MURPHY PATRICK JEFF	195 00	0 00	195 00
53835	10/31/2013	4190	NATIONAL UNION FIRE INSURANCE CO	750.33	0 00	750.33
53836	10/31/2013	4360	NESS CINDY LOUISE	208.00	0 00	208.00
53837	10/31/2013	2546	NIFTY AFTER FIFTY	38.40	0.00	38.40
53838	10/31/2013	4443	O'REILLY AUTOMOTIVE STORES INC	432.91	8.17	424 74
53839	10/31/2013	47554	OFFICE DEPOT BUSINESS SVCS	562.69	0.00	562.69
53840	10/31/2013	64479	OFFICE MAX - A BOISE COMPANY	228.93	0 00	228.93
53841	10/31/2013	4367	OROZCO'S AUTO SERVICE INC	178.50	0 00	178.50
53842	10/31/2013	4511	PACHECO ARVIN J & ELIZABETH AND	1,492.00	0 00	1,492 00
53843	10/31/2013	47288	PEP BOYS-MANNY MOE & JACK OF CALIFORNIA	217.99	0 00	217.99
53844	10/31/2013	1220	PERKIN. DIANE	156.02	0 00	156 02
53845	10/31/2013	51171	PERS LONG TERM CARE PROGRAM	266.84	0 00	266.84
53846	10/31/2013	66116	PETERSEN LOUISE	117 00	0 00	117 00
53847	10/31/2013	2174	PETTY CASH/LOVENEL REVELDEZ OR	1,153 17	0 00	1,153 17
53848	10/31/2013	4321	POWERTECH ENGINES INC	112.13	0 00	112.13
53849	10/31/2013	15600	LONG BEACH PUBLISHING CO	250 00	0 00	250 00
53850	10/31/2013	3931	PROVIDENCE MEDICAL INSTITUE	252.00	0 00	252.00
53851	10/31/2013	4333	REYES. MICHELLE	150.80	0 00	150 80
53852	10/31/2013	926	RICOH AMERICAS CORPORATION	1,034.68	0 00	1,034 68
53853	10/31/2013	47285	ROTARY CORP	1,286 42	0 00	1,286 42
53854	10/31/2013	51723	SCMAF OFFICE	150 00	0 00	150 00
53855	10/31/2013	4510	SOCIETY FOR HUMAN RESOURCE MANAGEMENT	180 00	0 00	180 00
53856	10/31/2013	4457	SKALE. DAVID	295 00	0 00	295 00
53857	10/31/2013	3186	CORAL BAY HOME LOANS	243 75	0 00	243 75
53858	10/31/2013	64790	SKOLNIK STEVEN N	16,777.87	0 00	16,777 87
53859	10/31/2013	52279	SMART & FINAL INC	3,647 03	0 00	3,647 03
53860	10/31/2013	4177	SOUTHERN CALIF ACADEMY OF MUSIC. INC	390 00	0 00	390 00
53861	10/31/2013	29400	SOUTHERN CALIFORNIA EDISON CO	2,835.58	0 00	2,835.58
53862	10/31/2013	49529	SPICERS PAPER INC	571 77	5.25	566.52
53863	10/31/2013	37930	STANDARD INSURANCE CO UNIT 22	9,084.25	0 00	9,084.25
53864	10/31/2013	48274	STANDARD TEL NETWORKS LLC	287.50	0 00	287.50
53865	10/31/2013	60792	STEPHENS. ERIC	98.80	0 00	98.80

CITY OF LAKEWOOD

SUMMARY CHECK REGISTER

CHECK #	CHECK DATE	VEND #	VENDOR NAME	GROSS	DISC.	CHECK AMOUNT
53866	10/31/2013	977	STEVEN ENTERPRISES	79.68	0.00	79.68
53867	10/31/2013	3916	STEVENS. CAITLIN	58.40	0.00	58.40
53868	10/31/2013	55947	STOVER SEED COMPANY	654.00	0.00	654.00
53869	10/31/2013	60359	CNS INDUSTRIES INC	680.86	0.00	680.86
53870	10/31/2013	2372	TGIS CATERING SVCS INC	402.21	0.00	402.21
53871	10/31/2013	60685	TURF STAR	573.32	0.00	573.32
53872	10/31/2013	64024	U S POSTAL SERVICE	200.00	0.00	200.00
53873	10/31/2013	4216	U.S. DEPARTMENT OF HUD	125.00	0.00	125.00
53874	10/31/2013	58851	UNISOURCE WORLDWIDE INC	175.03	0.00	175.03
53875	10/31/2013	1576	UPCRAFT CODY	53.61	0.00	53.61
53876	10/31/2013	4336	VILLA-REAL. WILHELMINA C	374.40	0.00	374.40
53877	10/31/2013	57135	VISION SERVICE PLAN	4,108.24	0.00	4,108.24
53878	10/31/2013	43627	VISTA PAINT	379.74	6.98	372.76
53879	10/31/2013	64457	WALLACE B.L. DISTRIBUTING INC	128.18	0.00	128.18
53880	10/31/2013	35146	WILLDAN ASSOCIATES	2,270.25	0.00	2,270.25
53881	10/31/2013	3699	GOMEZ. HECTOR	143.16	0.00	143.16
53882	10/31/2013	3699	ALVARADO GRACIA	250.00	0.00	250.00
53883	10/31/2013	3699	ALVAREZ. PLACIDO	250.00	0.00	250.00
53884	10/31/2013	3699	BOAZ. PAT	30.00	0.00	30.00
53885	10/31/2013	3699	BURCH. JOHN	23.00	0.00	23.00
53886	10/31/2013	3699	CALACSAN JAIME	250.00	0.00	250.00
53887	10/31/2013	3699	CHAVEZ. MARCELLA	250.00	0.00	250.00
53888	10/31/2013	3699	DYKENS. GAYLA	40.00	0.00	40.00
53889	10/31/2013	3699	FARRER CONSTRUCTION INC	388.80	0.00	388.80
53890	10/31/2013	3699	HARMON. VIRGINIA	250.00	0.00	250.00
53891	10/31/2013	3699	HESS. TIMOTHY	190.00	0.00	190.00
53892	10/31/2013	3699	LAKEWOOD HS GIRLS SOCCER	250.00	0.00	250.00
53893	10/31/2013	3699	LAWSON. GARY	250.00	0.00	250.00
53894	10/31/2013	3699	MC DOW ROSILYN	250.00	0.00	250.00
53895	10/31/2013	3699	PENERA. LORIE	250.00	0.00	250.00
53896	10/31/2013	3699	PERALTA JR. ACHILLES	250.00	0.00	250.00
53897	10/31/2013	3699	VICTORIA. RUTH	250.00	0.00	250.00
53898	10/31/2013	3699	VILLA-ABRILLE. MICHELLE	48.00	0.00	48.00
53899	10/31/2013	3699	WOODWARD CRYSTAL	250.00	0.00	250.00
Totals:				<u>591,524.62</u>	<u>20.40</u>	<u>591,504.22</u>

**CITY OF LAKEWOOD ePAYABLES
FUND SUMMARY 10/31/13**

In accordance with section 2521 of the Lakewood Municipal Code there is presented herewith a summary of obligations to be paid by ePayable 44 through 45 Each of the following demands has been audited by the Director of Administrative Services and approved by the City Manager

1010	GENERAL FUND	8,073.95
7500	WATER FUND	1,498.70

9,572.65

Council Approval

Date

City Manager

Attest

City Clerk

Director of Administrative Services

CITY OF LAKEWOOD
SUMMARY ePAYABLES REGISTER

<u>ePAYABLE #</u>	<u>CHECK DATE</u>	<u>VEND #</u>	<u>VENDOR NAME</u>	<u>GROSS</u>	<u>DISC.</u>	<u>CHECK AMOUNT</u>
44	10/31/2013	2279	AMERICAN PACIFIC PRINTERS COLLEGE	8,073.95	0 00	8,073.95
45	10/31/2013	66457	BRENNTAG PACIFIC INC	1,498 70	0 00	1,498 70
Totals:				<u>9,572.65</u>	<u>0.00</u>	<u>9,572.65</u>

DIVIDER SHEET

COUNCIL AGENDA

November 12, 2013

TO Honorable Mayor and City Council

SUBJECT Golden State Water Company Request to Change Operational Settings on Emergency Interconnection

INTRODUCTION

In March 1999 the Lakewood City Council entered into an agreement with Golden State Water Company (GSWC), formerly Southern California Water Company, for the construction and maintenance of an emergency interconnection between the two systems. Since its installation the interconnection has been available to provide water during emergency situations. On several occasions, such as treatment plant failure and power supply problems, the connection has been used to bolster water supply and maintain pressure to Lakewood residents east of the San Gabriel River

Golden State Water Company has requested use of the connection for non-emergency purposes for a limited time period during the construction of a new water well. Since this situation cannot be considered an emergency it does not fall within our existing agreement. The GSWC request is for a temporary change in the operating parameters for the interconnection to maintain water reliability

STATEMENT OF FACT

GSWC plans to begin drilling a new water production well to service the communities of Lakewood, Artesia and Hawaiian Gardens. The location of the proposed well is adjacent to an existing well. Due to the close proximity to the drilling, GSWC will need to shutdown the existing nearby well during the drilling phase of the project expected to take 10 weeks. GSWC expects to meet normal demand with the remaining five water production wells in the system, however any malfunction or high water use would stress the system beyond its pumping capabilities.

In the attached letter from GSWC requests an operational change to the emergency interconnection to active if the pressure in the GSWC system drops below 45 psi instead of the setting for emergency operations of 30 psi. The setting change would allow the emergency interconnection to operate with less of a drop in GSWC's system pressure during instances where demand is higher than normal or operational issues with the utility's wells. Any water used for this purpose will be charged to GSWC at the Central Basin Municipal Water District's Tier 1 rate for imported water from Metropolitan Water District of Southern California, currently \$987 per acre foot increasing to \$1,038 on January 1, 2014


SUMMARY

The agreement regarding the operation of the emergency interconnections between Lakewood and GSWC does not cover use of the interconnection for non-emergency situations, therefore City Council approval is required. GSWC requests this operational change for approximately 10 weeks during the drilling of a new water well.

RECOMMENDATION

Staff recommends approval to change operational setting on the interconnection between the City and Golden State Water Company for the duration of the water purveyor's capital project as outlined in this report to insure water reliability to the Lakewood residents and businesses east of the San Gabriel River

James B. Glancy 
Director of Water Resources

Howard L. Chambers 
City Manager



November 1, 2013

**Mr James B. Glancy,
Director of Water Resources
City of Lakewood
5050 Clark Avenue
Lakewood, CA 90712**

Re Emergency Inter-Connection Setting Change

Dear Mr Glancy,

The construction of a replacement well at our Centralia facility, located in our Artesia System, is expected to begin on November 18, 2013. The Artesia System provides water to the cities of Artesia, Hawaiian Gardens and a portion of Lakewood, east of the San Gabriel River. During the well drilling activities, the existing well must be taken out of service. Specifically, our Centralia #6 well will be taken out of service for approximately 10 weeks. During this 10 week period, the water supply for our Artesia system will be produced from the 5 remaining wells and two inter-connections with the city of Cerritos. Since the outage will occur during the time of year in which water demand is low, we do not expect any significant operational challenges as a result of the Centralia #6 well being out of service. However, additional water may be required should an unusual demand event occur such as a large water main break, unseasonably warm weather or one of the 5 wells in operation experience a failure.

Should we experience an unusual demand event, the water pressure in the Artesia system will likely be lower than normal for an extended period of time as a result of the Centralia #6 facility being out of service.

In 2001, Golden State Water Company and the city of Lakewood installed an emergency inter-connection between the two water systems. The mutual aid inter-connection allows water to flow from one system to the other during emergencies. If one of the water systems experiences a significant drop in pressure, the flow of water is automatically activated by a pressure regulating valve. Currently, the pressure regulating valve will activate between 20 and 30 pounds per square inch (psi). To mitigate the potential low pressure condition previously discussed, Golden State Water Company is respectfully requesting permission from the city of Lakewood to increase the pressure setting on the emergency inter-connection by

Mr. James B. Glancy
City of Lakewood
Emergency Inter-Connection Setting Change
November 1, 2013
Page 2 of 2

Lakewood to increase the pressure setting on the emergency inter-connection by approximately 15 psi to 45 psi. The change will allow the emergency connection to activate at 45 psi rather than the current setting of approximately 30 psi. The setting adjustment will only be needed during the time in which the Centralia #6 well is out of service and the setting will be restored to approximately 30psi once the well drilling operation is complete.

As required by the inter-connection agreement, water used through the inter-connection will be the prevailing Central Basin Municipal Water District rate for Metropolitan Water District of Southern California treated firm potable water in effect at the time of use.

If you would like to discuss this request in further detail, please contact me at (562) 907-9200, Ext. 400

Sincerely,

Paul J. Rowley
Central District Manager
Golden State Water Company

cc Patrick Scanlon, Vice President of Operations
Electronic file

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COUNCIL AGENDA

November 12, 2013

TO The Honorable Mayor and City Council

SUBJECT Quarterly Schedule of Investments

INTRODUCTION

Effective January 1, 1996, the California Government Code, Section 53646 requires that:

“The treasurer or chief fiscal officer shall render a quarterly report to the chief executive officer, the internal auditor and the legislative body of the local agency. The quarterly report shall be so submitted within 30 days following the end of the quarter covered by the report.”

In compliance with this section of the code, the schedule of investments is being rendered to be received and filed.

STATEMENT OF FACT

The investments represented in this report are allocated to a variety of funds such as the General Fund, Water Fund, Redevelopment Funds, Restricted Special Revenue Funds, and Fiduciary Funds.

The City's idle funds are invested in compliance with the City's investment policy, which was last reviewed and approved in January 2012 by the City Council, and is compliance with the updated Investment Policy proposed for adoption. Specifically, the city's investment objectives in the investment of public funds are safety, liquidity and yield. To accomplish these objectives, the following types of investments have been chosen and the City is currently or in the past invested in the following securities

Treasury Notes

TREAS Obligations of the U S Government to provide for the cash flow needs of the Federal Government.

Federal Agency Bonds or Notes.

Federal agency or United States government-sponsored enterprise obligations, participations, or other instruments, including those issued by or fully guaranteed as to principal and interest by federal agencies or United States government-sponsored enterprises.

FHLB (Federal Home Loan Bank Bonds)

Bonds and discount notes issued by the Federal Home Loan Bank to provide funding to member institutions and make available money to the residential mortgage market.

FHLMC (Federal Home Loan Mortgage Corp)

A publicly chartered agency that buys qualifying residential mortgages from lenders, packages them into new securities backed by those pooled mortgages, provides certain guarantees and then re-sells the securities on the open market.

FNMA (Federal National Mortgage Association)

National Mortgage Association is a government-sponsored, privately owned corporation established to create a secondary market for Federal Housing Administration mortgages.

FFCB (Federal Farm Credit Bank)

The Federal Farm Credit Bank is an independent agency of the U S Government which issues bonds and discount notes to provide short- and long-term credit and credit-related services to farmers, ranchers, rural homeowners, producers and harvesters.

Negotiable Certificates of Deposit

Negotiable CDs are issued by large banks and are freely traded in secondary markets as short term (2 to 52 weeks), large denomination (\$100,000 minimum) CD, that is either issued at a discount on its par value, or at a fixed interest rate payable at maturity

Municipal Bonds or Notes.

Registered treasury notes or bonds of any of the other 49 United States in addition to California, including bonds payable solely out of the revenues from a revenue-producing property owned, controlled, or operated by a state or by a department, board, agency, or authority of any of the other 49 United States, in addition to California.

Corporate Notes.

Medium-term notes, defined as all corporate and depository institution debt securities with a maximum remaining maturity of five years or less, issued by corporations organized and operating within the United States or by depository institutions licensed by the United States, or any state and operating within the United States. Medium-term corporate notes shall be rated in a rating category of "A" or its equivalent or better

Commercial Paper

Commercial paper of "prime" quality of the highest ranking or of the highest letter and number rating as provided for by a nationally recognized statistical-rating organization.

Pooled Funds

LAIF (Local Agency Investment Fund, State of California)

This investment pool is administered by the Treasurer of the State of California, and provides a high-level of liquidity and strong safety through diversification of investments.

CAMP (California Asset Management Program)

A Joint Powers Authority established in 1989 by the treasurers and finance directors of several California public agencies to provide professional investment services at a reasonable cost. Participation is limited to California public agencies.

Los Angeles County Pool

Investment in the Los Angeles County Pool may not exceed the current pool limits and should be reviewed periodically

MMKT (Money Market)

This is a money market interest-bearing checking account that is fully insured and collateralized.

SUMMARY

City of Lakewood
Schedule of Investments - September 30, 2013

<u>Type</u>	<u>Rating</u>	<u>Inst.</u>	<u>Par Value</u>	<u>Amortized Cost</u>	<u>Market Value</u>	<u>Yield</u>	<u>Duration</u>
Marketable Securities							
Agency	AA+	US Bank	\$8,275,000.00	\$8,283,410.57	\$8,300,157.07	0.54	1.410
US Treasury	AA+	US Bank	\$14,420,000.00	\$14,798,703.64	\$14,817,214.52	0.45	1.890
Certificate of Deposit	AA, A-1+	US Bank	\$3,475,000.00	\$3,474,887.62	\$3,474,131.13	0.51	0.490
Municipal Bond	AAA, AA:SP-1	US Bank	\$1,025,000.00	\$1,025,000.00	\$1,023,869.50	0.64	1.540
Commercial Paper	A-1+, A-1	US Bank	\$0.00	\$0.00	\$0.00		
Corporate Note	AA, A	US Bank	\$8,540,000.00	\$8,545,702.96	\$8,558,514.14	0.91	2.010
			\$35,735,000.00	\$36,127,704.79	\$36,173,886.36	0.56	1.950
<i>(See attached report provided by PFM for more detail)</i>							
Pooled Investment Accounts							
City L.A.I.F.		Calif		\$12,418,646.69	\$12,411,605.34	0.257	Life 239 days
City C.A.M.P.		US Bank		\$43,049.54	\$43,049.54	0.070	WAM 1 day
				\$12,461,696.23	\$12,454,654.88		
Bank Accounts							
City Checking		BofA		\$2,929,003.39	\$2,929,003.39	0.25	
City Payroll		BofA		\$45,954.36	\$45,954.36	0.25	
Successor Housing Checking		BofA		\$282,621.22	\$282,621.22	0.25	
				\$3,257,578.97	\$3,257,578.97		
Portfolio				\$51,846,979.99	\$51,886,120.21	0.49	
Funds held in reserve as required by debt issuance or non-agency funds - not available for City expenditures:							
Successor Agency checking		BofA		\$480,085.67	\$480,085.67	0.25	
LRA C.A.M.P. Arbitrage		MMKT		\$666,450.25	\$666,450.25	0.07	
LRA Reserve		US Bank		\$790,092.50	\$790,092.50		
CLP Water Reserve		US Bank		\$345,000.30	\$345,000.30		
Business Dev Loan MMKT		BofA		\$623,143.82	\$623,143.82	0.15	
Water 2004 Reserve		US Bank		\$463,500.00	\$463,500.00		
				\$3,368,272.54	\$3,368,272.54		
Total Portfolio				\$55,215,252.53	\$55,254,392.75	0.46	

The attached Managed Account Summary Statement is provided by the city's investment advisor Public Financial Management (PFM). The report is divided into the following sections

(A) Managed Account Summary – total portfolio value, transactions and earnings

- (B) Portfolio Summary – summary of the characteristics of the portfolio
- (C) Managed Account Issuer Summary – breakdown of issuer concentration and credit quality
- (D) Managed Account Details of Securities Held – an analysis of each security holding in the portfolio as of the last day of the quarter
- (E) Managed Account Fair Market Value & Analysis – summary of unrealized gains and losses reflected in market values
- (F) Managed Account Securities Transactions & Interest – detail of all transactions related to securities that either have a trade or settle date during the most recent month, this information is provided to the Council monthly

STAFF RECOMMENDATION

It is recommended that the City Council receive and file the Quarterly Schedule of Investments rendered for the 1st Quarter of Fiscal Year 2013-2014



Diane Perkin
Director of Administrative Services

Howard L. Chambers
City Manager





Managed Account Summary Statement

For the Month Ending **September 30, 2013**

CITY OF LAKEWOOD - 51260100

Transaction Summary - Managed Account

Opening Market Value	\$36,151,409.96
Maturities/Calls	0.00
Principal Dispositions	0.00
Principal Acquisitions	0.00
Unsettled Trades	(18,350.60)
Change in Current Value	40,827.00
Closing Market Value	\$36,173,886.36

Cash Transactions Summary - Managed Account

Maturities/Calls	0.00
Sale Proceeds	0.00
Coupon/Interest/Dividend Income	18,105.63
Principal Payments	0.00
Security Purchases	0.00
Net Cash Contribution	(35,187.20)
Reconciling Transactions	0.00

Earnings Reconciliation (Cash Basis) - Managed Account

Interest/Dividends/Coupons Received	18,105.63
Less Purchased Interest Related to Interest/Coupons	0.00
Plus Net Realized Gains/Losses	0.00
Total Cash Basis Earnings	\$18,105.63

Cash Balance

Closing Cash Balance	\$35,556.85
-----------------------------	--------------------

Earnings Reconciliation (Accrual Basis)

	Total
Ending Amortized Value of Securities	36,127,704.79
Ending Accrued Interest	75,858.31
Plus Proceeds from Sales	769,837.78
Plus Proceeds of Maturities/Calls/Principal Payments	0.00
Plus Coupons/Dividends Received	18,105.63
Less Cost of New Purchases	(749,759.22)
Less Beginning Amortized Value of Securities	(36,158,299.96)
Less Beginning Accrued Interest	(64,283.34)
Total Accrual Basis Earnings	\$19,163.99



Portfolio Summary and Statistics

For the Month Ending **September 30, 2013**

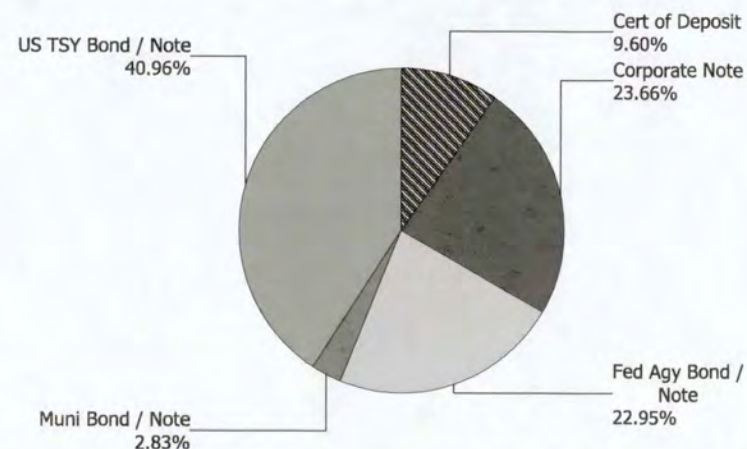
CITY OF LAKEWOOD - 51260100

Account Summary

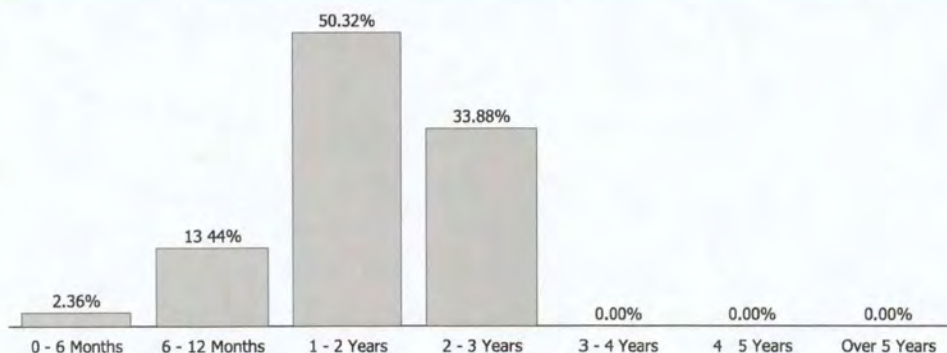
Description	Par Value	Market Value	Percent
U S Treasury Bond / Note	14,420,000.00	14,817,214.52	40.96
Municipal Bond / Note	1,025,000.00	1,023,869.50	2.83
Federal Agency Bond / Note	8,275,000.00	8,300,157.07	22.95
Corporate Note	8,540,000.00	8,558,514.14	23.66
Certificate of Deposit	3,475,000.00	3,474,131.13	9.60
Managed Account Sub-Total	35,735,000.00	36,173,886.36	100.00%
Accrued Interest		75,858.31	
Total Portfolio	35,735,000.00	36,249,744.67	

Unsettled Trades **750,000.00** **749,304.50**

Sector Allocation



Maturity Distribution



Characteristics

Yield to Maturity at Cost	0.59%
Yield to Maturity at Market	0.47%
Duration to Worst	1.69
Weighted Average Days to Maturity	660





Managed Account Issuer Summary

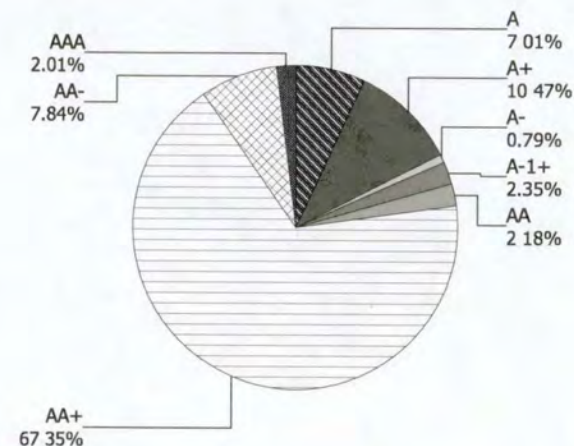
For the Month Ending **September 30, 2013**

CITY OF LAKEWOOD - 51260100

Issuer Summary

Issuer	Market Value of Holdings	Percent
APPLE INC	337,621.36	0.93
BANK OF NEW YORK	1,031,353.65	2.85
BANK OF NOVA SCOTIA	850,724.20	2.35
BERKSHIRE HATHAWAY INC	490,637.49	1.36
CA ST DEPT OF WATER REV BONDS	275,057.75	0.76
CATERPILLAR INC	503,218.50	1.39
DEERE & COMPANY	703,594.18	1.95
FANNIE MAE	2,932,450.52	8.11
FREDDIE MAC	5,367,706.55	14.84
GENERAL ELECTRIC CO	911,666.76	2.52
GLAXOSMITHKLINE PLC	549,672.75	1.52
IBM CORP	678,293.33	1.88
JP MORGAN CHASE & CO	799,076.80	2.21
MCDONALD'S CORPORATION	230,853.07	0.64
MET WATER DISTRICT OF SOUTHERN CA	450,621.00	1.25
PEPSICO, INC	284,163.53	0.79
RABOBANK NEDERLAND	896,761.80	2.48
STANDARD CHARTERED BANK	850,323.00	2.35
SVENSKA HANDELSBANKEN	876,322.13	2.42
THE WALT DISNEY CORPORATION	298,428.30	0.82
TOYOTA MOTOR CORP	384,662.36	1.06
UNITED STATES TREASURY	14,817,214.52	40.95
UNIVERSITY OF CALIFORNIA	298,190.75	0.82
WELLS FARGO & COMPANY	1,355,272.06	3.75
Total	\$36,173,886.36	100.00%

Credit Quality (S&P Ratings)





Managed Account Detail of Securities Held

For the Month Ending **September 30, 2013**

CITY OF LAKEWOOD - 51260100

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
U.S. Treasury Bond / Note											
US TREASURY NOTES DTD 07/31/2012 0.125% 07/31/2014	912828TF7	100,000.00	AA+	Aaa	10/23/12	10/26/12	99 714.84	0.29	21.06	99,865.39	100,023.40
US TREASURY NOTES DTD 09/15/2011 0.250% 09/15/2014	912828RG7	950,000.00	AA+	Aaa	10/12/11	10/14/11	942,318.36	0.53	104 97	947 473.00	951,261 60
US TREASURY NOTES DTD 12/15/2011 0.250% 12/15/2014	912828RV4	1,600,000.00	AA+	Aaa	01/05/12	01/06/12	1,593,750.00	0.38	1,180.33	1,597 430.24	1,601 750.40
US TREASURY NOTES DTD 02/01/2010 2.250% 01/31/2015	912828MH0	500,000.00	AA+	Aaa	01/23/12	01/26/12	527 753.91	0 40	1,895.38	512,302.56	513 710.94
US TREASURY NOTES DTD 02/15/2012 0.250% 02/15/2015	912828SE1	1,105,000.00	AA+	Aaa	02/22/12	02/27/12	1,099,259.18	0.43	352.82	1,102,336.03	1,105,819.91
US TREASURY NOTES DTD 03/15/2012 0.375% 03/15/2015	912828SK7	120,000.00	AA+	Aaa	03/13/12	03/15/12	119,596.88	0 49	19.89	119,803.65	120,285.96
US TREASURY NOTES DTD 03/15/2012 0.375% 03/15/2015	912828SK7	1,850,000.00	AA+	Aaa	06/27/12	06/28/12	1,848,554.69	0 40	306.63	1,849,223 44	1,854 408.55
US TREASURY NOTES DTD 08/02/2010 1.750% 07/31/2015	912828NP1	220,000.00	AA+	Aaa	10/23/12	10/26/12	228,189.84	0.39	648.64	225,437.63	225,817 90
US TREASURY NOTES DTD 08/02/2010 1.750% 07/31/2015	912828NP1	695,000.00	AA+	Aaa	08/22/12	08/23/12	722,528.52	0.39	2,049.12	712,200.52	713,379.28
US TREASURY NOTES DTD 08/02/2010 1 750% 07/31/2015	912828NP1	2,100,000.00	AA+	Aaa	09/06/12	09/10/12	2,185,640.63	0.33	6,191 58	2 154 394 43	2 155,534.50
US TREASURY NOTES DTD 11/30/2010 1.375% 11/30/2015	912828PJ3	155,000.00	AA+	Aaa	11/01/12	11/05/12	159,589 45	0.40	716.24	158,242.54	158,317 93
US TREASURY NOTES DTD 11/30/2010 1.375% 11/30/2015	912828PJ3	275,000.00	AA+	Aaa	11/29/12	12/05/12	283,368.17	0.35	1,270.75	281,072.29	280,886.65
US TREASURY NOTES DTD 06/30/2009 3.250% 06/30/2016	912828KZ2	775,000.00	AA+	Aaa	05/10/13	05/15/13	843,417 97	0.41	6,365.32	835,154.05	831,914 45
US TREASURY NOTES DTD 06/30/2009 3.250% 06/30/2016	912828KZ2	1,150,000.00	AA+	Aaa	05/22/13	05/24/13	1,249,322.27	0.44	9 445.31	1,238,026.31	1,234,453 70





Managed Account Detail of Securities Held

For the Month Ending **September 30, 2013**

CITY OF LAKEWOOD - 51260100

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
U.S. Treasury Bond / Note											
US TREASURY NOTES DTD 06/30/2009 3.250% 06/30/2016	912828KZ2	1,825,000.00	AA+	Aaa	05/24/13	05/31/13	1,976,845.70	0.53	14,989.30	1,960,432.03	1,959,024.35
US TREASURY NOTES DTD 08/31/2011 1.000% 08/31/2016	912828RF9	1,000,000.00	AA+	Aaa	08/29/13	08/30/13	1,005,468.75	0.82	856.35	1,005,309.53	1,010,625.00
Security Type Sub-Total		14,420,000.00					14,885,319.16	0.45	46,413.69	14,798,703.64	14,817,214.52

Municipal Bond / Note											
METRO WTR DIST AUTH, CA TXBL REV BONDS DTD 06/28/2012 0.616% 07/01/2014	59266THP9	450,000.00	AAA	Aa1	06/21/12	06/28/12	450,000.00	0.62	693.00	450,000.00	450,621.00
UNIV OF CAL TXBL REV BONDS DTD 10/02/2013 0.528% 05/15/2015	91412GSW6	125,000.00	AA	Aa1	09/26/13	10/02/13	125,000.00	0.53	0.00	125,000.00	124,782.50
CA ST DEPT OF WATER TXBL REV BONDS DTD 09/27/2012 0.650% 12/01/2015	13066KX87	275,000.00	AAA	Aa1	09/19/12	09/27/12	275,000.00	0.65	595.83	275,000.00	275,057.75
UNIV OF CAL TXBL REV BONDS DTD 10/02/2013 0.907% 05/15/2016	91412GSX4	75,000.00	AA	Aa1	09/26/13	10/02/13	75,000.00	0.91	0.00	75,000.00	74,849.25
UNIV OF CAL TXBL REV BONDS DTD 03/14/2013 0.659% 05/15/2016	91412GPX7	100,000.00	AA	Aa1	02/28/13	03/14/13	100,000.00	0.66	360.62	100,000.00	98,559.00
Security Type Sub-Total		1,025,000.00					1,025,000.00	0.64	1,649.45	1,025,000.00	1,023,869.50

Federal Agency Bond / Note											
FREDDIE MAC GLOBAL NOTES DTD 07/26/2011 1.000% 08/20/2014	3134G2UA8	1,260,000.00	AA+	Aaa	12/16/11	12/19/11	1,271,483.64	0.65	1,435.00	1,263,834.34	1,269,182.88
FREDDIE MAC GLOBAL NOTES DTD 08/05/2011 0.750% 09/22/2014	3134G2WG3	430,000.00	AA+	Aaa	09/28/11	09/30/11	430,860.00	0.68	80.63	430,283.53	432,439.82
FREDDIE MAC GLOBAL NOTES DTD 08/05/2011 0.750% 09/22/2014	3134G2WG3	1,650,000.00	AA+	Aaa	08/30/11	08/31/11	1,658,646.00	0.58	309.38	1,652,772.61	1,659,362.10
FREDDIE MAC GLOBAL NOTES DTD 10/06/2011 0.750% 11/25/2014	3137EACY3	750,000.00	AA+	Aaa	05/30/12	05/31/12	754,650.00	0.50	1,968.75	752,160.32	754,878.00





Managed Account Detail of Securities Held

For the Month Ending **September 30, 2013**

CITY OF LAKEWOOD - 51260100

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Federal Agency Bond / Note											
FNMA NOTES (CALLABLE) DTD 08/07/2012 0.500% 08/07/2015	3135G0NG4	1,800,000.00	AA+	Aaa	08/02/12	08/07/12	1,799,820.00	0.50	1,350.00	1,799,888.69	1,800,331.20
FREDDIE MAC GLOBAL NOTES DTD 07/11/2012 0.500% 08/28/2015	3134G3ZA1	1,250,000.00	AA+	Aaa	07/30/12	07/31/12	1,251,458.75	0.46	572.92	1,250,907.48	1,251,843.75
FANNIE MAE GLOBAL NOTES DTD 11/16/2012 0.375% 12/21/2015	3135G0SB0	525,000.00	AA+	Aaa	11/14/12	11/16/12	523,776.75	0.45	546.88	524,120.54	523,611.38
FANNIE MAE GLOBAL NOTES DTD 02/15/2013 0.500% 03/30/2016	3135G0VA8	610,000.00	AA+	Aaa	02/14/13	02/15/13	609,304.60	0.54	8.47	609,443.06	608,507.94
Security Type Sub-Total		8,275,000.00					8,299,999.74	0.54	6,272.03	8,283,410.57	8,300,157.07
Corporate Note											
GENERAL ELEC CAP CORP GLOBAL NOTES DTD 01/09/2012 2.150% 01/09/2015	36962G5M2	200,000.00	AA+	A1	05/23/12	05/29/12	202,178.00	1.72	979.44	201,073.72	204,149.20
BANK OF NEW YORK MELLON (CALLABLE) NOTES DTD 02/21/2012 1.200% 02/20/2015	06406HCC1	225,000.00	A+	Aa3	02/13/12	02/21/12	224,795.25	1.23	307.50	224,904.38	227,020.50
JOHN DEERE CAPITAL CORP GLOBAL NOTES DTD 04/17/2012 0.875% 04/17/2015	24422ERO4	250,000.00	A	A2	04/12/12	04/17/12	249,845.00	0.90	996.53	249,919.69	251,379.00
IBM CORP GLOBAL NOTES DTD 05/11/2012 0.750% 05/11/2015	459200HD6	675,000.00	AA	Aa3	05/08/12	05/11/12	673,879.50	0.81	1,968.75	674,394.96	678,293.33
MCDONALDS CORP NOTES DTD 05/29/2012 0.750% 05/29/2015	58013MEP5	230,000.00	A	A2	05/23/12	05/29/12	229,367.50	0.84	584.58	229,647.86	230,853.07
CATERPILLAR FIN CORP NOTES DTD 05/30/2012 1.100% 05/29/2015	14912L5D9	250,000.00	A	A2	05/22/12	05/30/12	249,920.00	1.11	931.94	249,955.33	252,036.50
CATERPILLAR INC GLOBAL NOTES DTD 06/26/2012 0.950% 06/26/2015	149123BY6	250,000.00	A	A2	06/21/12	06/26/12	249,947.50	0.96	626.74	249,969.44	251,182.00
JOHN DEERE CAPITAL CORP GLOBAL NOTES DTD 06/29/2012 0.950% 06/29/2015	24422ERS0	325,000.00	A	A2	06/26/12	06/29/12	324,912.25	0.96	789.03	324,948.68	327,107.30



Managed Account Detail of Securities Held

For the Month Ending **September 30, 2013**

CITY OF LAKEWOOD - 51260100

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Corporate Note											
WELLS FARGO & COMPANY DTD 06/27/2012 1.500% 07/01/2015	94974BFE5	400,000.00	A+	A2	03/26/13	03/28/13	406,921.64	0.73	1,500.00	405,373.86	405,016.40
WELLS FARGO & COMPANY DTD 06/27/2012 1.500% 07/01/2015	94974BFE5	400,000.00	A+	A2	03/27/13	03/28/13	406,804.00	0.74	1,500.00	405,282.72	405,016.40
JOHN DEERE CAPITAL CORP DTD 09/07/2012 0.700% 09/04/2015	24422ERV3	125,000.00	A	A2	09/04/12	09/07/12	124,915.00	0.72	65.63	124,945.09	125,107.88
BANK OF NEW YORK MELLON (CALLABLE) DTD 10/25/2012 0.700% 10/23/2015	06406HCD9	200,000.00	A+	Aa3	10/18/12	10/25/12	199,794.00	0.73	614.44	199,857.72	199,777.40
WALT DISNEY CO GLOBAL NOTES DTD 11/30/2012 0.450% 12/01/2015	25468PCU8	300,000.00	A	A2	11/27/12	11/30/12	297,765.00	0.70	450.00	298,382.75	298,428.30
PEPSICO INC GLOBAL NOTES DTD 02/28/2013 0.700% 02/26/2016	713448CE6	285,000.00	A-	A1	02/25/13	02/28/13	284,900.25	0.71	193.96	284,919.62	284,163.53
JPMORGAN CHASE & CO GLOBAL NOTES DTD 02/26/2013 1.125% 02/26/2016	46623EJU4	800,000.00	A	A2	02/21/13	02/26/13	799,032.00	1.17	875.00	799,222.10	799,076.80
BANK OF NEW YORK MELLON (CALLABLE) DTD 03/06/2013 0.700% 03/04/2016	06406HCG2	270,000.00	A+	Aa3	03/05/13	03/06/13	269,840.70	0.72	141.75	269,870.72	267,590.25
BANK OF NEW YORK MELLON (CALLABLE) DTD 03/06/2013 0.700% 03/04/2016	06406HCG2	340,000.00	A+	Aa3	03/04/13	03/06/13	339,799.40	0.72	178.50	339,837.20	336,965.50
GLAXOSMITHKLINE CAP INC GLOBAL NOTES DTD 03/18/2013 0.700% 03/18/2016	377372AG2	550,000.00	A+	A1	09/27/13	10/02/13	549,609.50	0.73	149.72	549,609.50	549,672.75
APPLE INC GLOBAL NOTES DTD 05/03/2013 0.450% 05/03/2016	037833AH3	340,000.00	AA+	Aa1	04/30/13	05/03/13	339,384.60	0.51	629.00	339,468.40	337,621.36
TOYOTA MOTOR CREDIT CORP DTD 05/17/2013 0.800% 05/17/2016	89236TAL9	385,000.00	AA-	Aa3	05/14/13	05/17/13	384,842.15	0.81	1,146.44	384,861.54	384,662.36
GENERAL ELEC CAP CORP (FLOATING) DTD 07/12/2013 0.919% 07/12/2016	36962G7A6	705,000.00	AA+	A1	07/09/13	07/12/13	705,000.00	0.93	1,457.92	705,000.00	707,517.56
WELLS FARGO & COMPANY DTD 07/29/2013 1.250% 07/20/2016	94974BFL9	545,000.00	A+	A2	07/22/13	07/29/13	544,476.80	1.28	1,173.26	544,506.45	545,239.26





Managed Account Detail of Securities Held

For the Month Ending **September 30, 2013**

CITY OF LAKEWOOD - 51260100

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Corporate Note											
BERKSHIRE HATHAWAY FIN GLOBAL NOTES DTD 08/15/2013 0.950% 08/15/2016	084664BX8	490,000.00	AA	Aa2	08/06/13	08/15/13	489,740.30	0.97	594.81	489,751.23	490,637.49
Security Type Sub-Total		8,540,000.00					8,547,670.34	0.91	17,854.94	8,545,702.96	8,558,514.14
Certificate of Deposit											
STANDARD CHARTERED BK NY LT CD (FLOAT) DTD 03/18/2013 0.339% 03/18/2014	85325BVS0	850,000.00	A 1+	P-1	03/18/13	03/18/13	850,000.00	0.37	104.13	850,000.00	850,323.00
SVENSKA HANDELSBANKEN NY FLOATING LT CD DTD 04/05/2013 0.451% 10/06/2014	86958CVF8	875,000.00	AA-	Aa3	04/03/13	04/05/13	874,866.75	0.48	942.75	874,887.62	876,322.13
BANK OF NOVA SCOTIA HOUS CERT DEPOS (FLT) DTD 03/06/2013 0.500% 03/06/2015	06417FPL8	850,000.00	A+	Aa2	03/04/13	03/06/13	850,000.00	0.59	296.32	850,000.00	850,724.20
RABOBANK NEDERLAND NV NY CD DTD 04/29/2013 0.600% 04/29/2015	21684BEP5	900,000.00	AA-	Aa2	04/25/13	04/29/13	900,000.00	0.61	2,325.00	900,000.00	896,761.80
Security Type Sub-Total		3,475,000.00					3,474,866.75	0.51	3,668.20	3,474,887.62	3,474,131.13
Managed Account Sub-Total		35,735,000.00					36,232,855.99	0.59	75,858.31	36,127,704.79	36,173,886.36
Securities Sub-Total		\$35,735,000.00					\$36,232,855.99	0.59%	\$75,858.31	\$36,127,704.79	\$36,173,886.36
Accrued Interest											\$75,858.31
Total Investments											\$36,249,744.67

Bolded items are forward settling trades.





Managed Account Fair Market Value & Analytics

For the Month Ending **September 30, 2013**

CITY OF LAKEWOOD - 51260100

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	Broker	Next Call Date	Market Price	Market Value	Unreal G/L On Cost	Unreal G/L Amort Cost	Effective Duration	Duration to Worst at Mkt	YTM
U.S. Treasury Bond / Note											
US TREASURY NOTES DTD 07/31/2012 0 125% 07/31/2014	912828TF7	100,000.00	DEUTSCHE		100.02	100,023.40	308.56	158.01	0.83	0.83	0.10
US TREASURY NOTES DTD 09/15/2011 0.250% 09/15/2014	912828RG7	950,000.00	MORGANST		100.13	951,261.60	8,943.24	3,788.60	0.96	0.96	0.11
US TREASURY NOTES DTD 12/15/2011 0.250% 12/15/2014	912828RV4	1,600,000.00	DEUTSCHE		100.11	1,601,750.40	8,000.40	4,320.16	1.20	1.20	0.16
US TREASURY NOTES DTD 02/01/2010 2.250% 01/31/2015	912828MH0	500,000.00	BARCLAYS		102.74	513,710.94	(14,042.97)	1,408.38	1.32	1.32	0.19
US TREASURY NOTES DTD 02/15/2012 0.250% 02/15/2015	912828SE1	1,105,000.00	CITIGRP		100.07	1,105,819.91	6,560.73	3,483.88	1.37	1.37	0.20
US TREASURY NOTES DTD 03/15/2012 0.375% 03/15/2015	912828SK7	120,000.00	DEUTSCHE		100.24	120,285.96	689.08	482.31	1.45	1.45	0.21
US TREASURY NOTES DTD 03/15/2012 0.375% 03/15/2015	912828SK7	1,850,000.00	CITIGRP		100.24	1,854,408.55	5,853.86	5,185.11	1.45	1.45	0.21
US TREASURY NOTES DTD 08/02/2010 1 750% 07/31/2015	912828NP1	220,000.00	BARCLAYS		102.64	225,817.90	(2,371.94)	380.27	1.81	1.81	0.30
US TREASURY NOTES DTD 08/02/2010 1 750% 07/31/2015	912828NP1	695,000.00	MORGANST		102.64	713,379.28	(9,149.24)	1,178.76	1.81	1.81	0.30
US TREASURY NOTES DTD 08/02/2010 1 750% 07/31/2015	912828NP1	2,100,000.00	MORGANST		102.64	2,155,534.50	(30,106.13)	1,140.07	1.81	1.81	0.30
US TREASURY NOTES DTD 11/30/2010 1.375% 11/30/2015	912828PJ3	155,000.00	MERRILL		102.14	158,317.93	(1,271.52)	75.39	2.13	2.13	0.38
US TREASURY NOTES DTD 11/30/2010 1.375% 11/30/2015	912828PJ3	275,000.00	BARCLAYS		102.14	280,886.65	(2,481.52)	(185.64)	2.13	2.13	0.38
US TREASURY NOTES DTD 06/30/2009 3.250% 06/30/2016	912828KZ2	775,000.00	RBS_SEC		107.34	831,914.45	(11,503.52)	(3,239.60)	2.63	2.63	0.56
US TREASURY NOTES DTD 06/30/2009 3.250% 06/30/2016	912828KZ2	1,150,000.00	RBS_SEC		107.34	1,234,453.70	(14,868.57)	(3,572.61)	2.63	2.63	0.56
US TREASURY NOTES DTD 06/30/2009 3.250% 06/30/2016	912828KZ2	1,825,000.00	BNP PARI		107.34	1,959,024.35	(17,821.35)	(1,407.68)	2.63	2.63	0.56
US TREASURY NOTES DTD 08/31/2011 1 000% 08/31/2016	912828RF9	1,000,000.00	RBS_SEC		101.06	1,010,625.00	5,156.25	5,315.47	2.87	2.87	0.63
Security Type Sub-Total		14,420,000.00				14,817,214.52	(68,104.64)	18,510.88	1.89	1.89	0.34



Managed Account Fair Market Value & Analytics

For the Month Ending **September 30, 2013**

CITY OF LAKEWOOD - 51260100

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	Broker	Next Call Date	Market Price	Market Value	Unreal G/L On Cost	Unreal G/L Amort Cost	Effective Duration	Duration to Worst at Mkt	YTM
Municipal Bond / Note											
METRO WTR DIST AUTH, CA TXBL REV BONDS DTD 06/28/2012 0.616% 07/01/2014	59266THP9	450,000.00	BARCLAYS		100.14	450,621.00	621.00	621.00	0.75	0.75	0.43
UNIV OF CAL TXBL REV BONDS DTD 10/02/2013 0.528% 05/15/2015	91412GSW6	125,000.00	BARCLAYS		99.83	124,782.50	(217.50)	(217.50)	1.61	1.61	0.64
CA ST DEPT OF WATER TXBL REV BONDS DTD 09/27/2012 0.650% 12/01/2015	13066KX87	275,000.00	MORGANST		100.02	275,057.75	57.75	57.75	2.15	2.15	0.64
UNIV OF CAL TXBL REV BONDS DTD 10/02/2013 0.907% 05/15/2016	91412GSX4	75,000.00	BARCLAYS		99.80	74,849.25	(150.75)	(150.75)	2.58	2.58	0.98
UNIV OF CAL TXBL REV BONDS DTD 03/14/2013 0.659% 05/15/2016	91412GPX7	100,000.00	JPMCHASE		98.56	98,559.00	(1,441.00)	(1,441.00)	2.59	2.58	1.22
Security Type Sub-Total		1,025,000.00				1,023,869.50	(1,130.50)	(1,130.50)	1.54	1.54	0.63
Federal Agency Bond / Note											
FREDDIE MAC GLOBAL NOTES DTD 07/26/2011 1.000% 08/20/2014	3134G2UA8	1,260,000.00	BNP PARI		100.73	1,269,182.88	(2,300.76)	5,348.54	0.89	0.89	0.18
FREDDIE MAC GLOBAL NOTES DTD 08/05/2011 0.750% 09/22/2014	3134G2WG3	430,000.00	BARCLAYS		100.57	432,439.82	1,579.82	2,156.29	0.98	0.98	0.17
FREDDIE MAC GLOBAL NOTES DTD 08/05/2011 0.750% 09/22/2014	3134G2WG3	1,650,000.00	BARCLAYS		100.57	1,659,362.10	716.10	6,589.49	0.98	0.98	0.17
FREDDIE MAC GLOBAL NOTES DTD 10/06/2011 0.750% 11/25/2014	3137EACY3	750,000.00	JPMCHASE		100.65	754,878.00	228.00	2,717.68	1.15	1.15	0.18
FNMA NOTES (CALLABLE) DTD 08/07/2012 0.500% 08/07/2015	3135GONG4	1,800,000.00	TD SEC U	11/07/13	100.02	1,800,331.20	511.20	442.51	1.47	0.10	0.49
FREDDIE MAC GLOBAL NOTES DTD 07/11/2012 0.500% 08/28/2015	3134G3ZA1	1,250,000.00	HSBC		100.15	1,251,843.75	385.00	936.27	1.90	1.90	0.42
FANNIE MAE GLOBAL NOTES DTD 11/16/2012 0.375% 12/21/2015	3135G0SB0	525,000.00	DEUTSCHE		99.74	523,611.38	(165.37)	(509.16)	2.21	2.21	0.49
FANNIE MAE GLOBAL NOTES DTD 02/15/2013 0.500% 03/30/2016	3135G0VA8	610,000.00	CITIGRP		99.76	608,507.94	(796.66)	(935.12)	2.48	2.48	0.60
Security Type Sub-Total		8,275,000.00				8,300,157.07	157.33	16,746.50	1.41	1.12	0.33





Managed Account Fair Market Value & Analytics

For the Month Ending **September 30, 2013**

CITY OF LAKEWOOD - 51260100

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	Broker	Next Call Date	Market Price	Market Value	Unreal G/L On Cost	Unreal G/L Amort Cost	Effective Duration	Duration to Worst at Mkt	YTM
Corporate Note											
GENERAL ELEC CAP CORP GLOBAL NOTES DTD 01/09/2012 2 150% 01/09/2015	36962G5M2	200,000.00	GOLDMAN		102.07	204,149.20	1,971.20	3,075.48	1.26	1.26	0.52
BANK OF NEW YORK MELLON (CALLABLE) NOTES DTD 02/21/2012 1.200% 02/20/2015	06406HCC1	225,000.00	GOLDMAN	01/20/15	100.90	227,020.50	2,225.25	2,116.12	1.29	1.29	0.55
JOHN DEERE CAPITAL CORP GLOBAL NOTES DTD 04/17/2012 0.875% 04/17/2015	24422ERO4	250,000.00	CITIGRP		100.55	251,379.00	1,534.00	1,459.31	1.53	1.53	0.52
IBM CORP GLOBAL NOTES DTD 05/11/2012 0.750% 05/11/2015	459200HD6	675,000.00	HSBC		100.49	678,293.33	4,413.83	3,898.37	1.60	1.60	0.45
MCDONALDS CORP NOTES DTD 05/29/2012 0.750% 05/29/2015	58013MEP5	230,000.00	JPMCHASE		100.37	230,853.07	1,485.57	1,205.21	1.65	1.65	0.53
CATERPILLAR FIN CORP NOTES DTD 05/30/2012 1 100% 05/29/2015	14912L5D9	250,000.00	MERRILL		100.81	252,036.50	2,116.50	2,081.17	1.64	1.64	0.61
CATERPILLAR INC GLOBAL NOTES DTD 06/26/2012 0.950% 06/26/2015	149123BY6	250,000.00	JPMCHASE		100.47	251,182.00	1,234.50	1,212.56	1.72	1.72	0.68
JOHN DEERE CAPITAL CORP GLOBAL NOTES DTD 06/29/2012 0.950% 06/29/2015	24422ERS0	325,000.00	DEUTSCHE		100.65	327,107.30	2,195.05	2,158.62	1.73	1.73	0.58
WELLS FARGO & COMPANY DTD 06/27/2012 1.500% 07/01/2015	94974BFE5	400,000.00	BNP PARI		101.25	405,016.40	(1,905.24)	(357.46)	1.72	1.72	0.78
WELLS FARGO & COMPANY DTD 06/27/2012 1.500% 07/01/2015	94974BFE5	400,000.00	MORGANST		101.25	405,016.40	(1,787.60)	(266.32)	1.72	1.72	0.78
JOHN DEERE CAPITAL CORP DTD 09/07/2012 0.700% 09/04/2015	24422ERV3	125,000.00	DEUTSCHE		100.09	125,107.88	192.88	162.79	1.91	1.91	0.65
BANK OF NEW YORK MELLON (CALLABLE) DTD 10/25/2012 0.700% 10/23/2015	06406HCD9	200,000.00	MORGANST	09/23/15	99.89	199,777.40	(16.60)	(80.32)	2.04	2.04	0.75
WALT DISNEY CO GLOBAL NOTES DTD 11/30/2012 0.450% 12/01/2015	25468PCU8	300,000.00	CITIGRP		99.48	298,428.30	663.30	45.55	2.15	2.15	0.69
PEPSICO INC GLOBAL NOTES DTD 02/28/2013 0.700% 02/26/2016	713448CE6	285,000.00	JPMCHASE		99.71	284,163.53	(736.72)	(756.09)	2.38	2.38	0.82
JPMORGAN CHASE & CO GLOBAL NOTES DTD 02/26/2013 1.125% 02/26/2016	46623EJU4	800,000.00	JPMCHASE		99.88	799,076.80	44.80	(145.30)	2.36	2.36	1.17





Managed Account Fair Market Value & Analytics

For the Month Ending **September 30, 2013**

CITY OF LAKEWOOD - 51260100

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	Broker	Next Call Date	Market Price	Market Value	Unreal G/L On Cost	Unreal G/L Amort Cost	Effective Duration	Duration to Worst at Mkt	YTM
Corporate Note											
BANK OF NEW YORK MELLON (CALLABLE) DTD 03/06/2013 0.700% 03/04/2016	06406HCG2	270,000.00	GOLDMAN		99 11	267,590.25	(2,250.45)	(2,280.47)	2.93	2.40	1.07
BANK OF NEW YORK MELLON (CALLABLE) DTD 03/06/2013 0.700% 03/04/2016	06406HCG2	340,000.00	GOLDMAN		99 11	336,965.50	(2,833.90)	(2,871.70)	2.93	2.40	1.07
GLAXOSMITHKLINE CAP INC GLOBAL NOTES DTD 03/18/2013 0.700% 03/18/2016	377372AG2	550,000.00	RBC CAP		99 94	549,672.75	63.25	63.25	2.44	2.44	0.72
APPLE INC GLOBAL NOTES DTD 05/03/2013 0.450% 05/03/2016	037833AH3	340,000.00	GOLDMAN		99.30	337,621.36	(1,763.24)	(1,847.04)	2.98	2.57	0.72
TOYOTA MOTOR CREDIT CORP DTD 05/17/2013 0.800% 05/17/2016	89236TAL9	385,000.00	CITIGRP		99 91	384,662.36	(179.79)	(199.18)	2.59	2.59	0.83
GENERAL ELEC CAP CORP (FLOATING) DTD 07/12/2013 0.919% 07/12/2016	36962G7A6	705,000.00	CITIGRP		100.36	707,517.56	2,517.56	2,517.56	0.25	2.74	0.80
WELLS FARGO & COMPANY DTD 07/29/2013 1.250% 07/20/2016	94974BFL9	545,000.00	WELLSFAR		100.04	545,239.26	762.46	732.81	2.74	2.74	1.23
BERKSHIRE HATHAWAY FIN GLOBAL NOTES DTD 08/15/2013 0.950% 08/15/2016	084664BX8	490,000.00	WELLSFAR		100 13	490,637.49	897.19	886.26	2.83	2.83	0.90
Security Type Sub-Total		8,540,000.00				8,558,514.14	10,843.80	12,811.18	2.01	2.17	0.80
Certificate of Deposit											
STANDARD CHARTERED BK NY LT CD (FLOAT) DTD 03/18/2013 0.339% 03/18/2014	85325BVS0	850,000.00	STANDCHA		100.04	850,323.00	323.00	323.00	0.08	0.46	0.30
SVENSKA HANDELSBANKEN NY FLOATING LT CD DTD 04/05/2013 0.451% 10/06/2014	86958CVF8	875,000.00	GOLDMAN		100 15	876,322.13	1,455.38	1,434.51	0.25	1.02	0.31
BANK OF NOVA SCOTIA HOUS CERT DEPOS (FLT) DTD 03/06/2013 0.500% 03/06/2015	06417FPL8	850,000.00	GOLDMAN		100.09	850,724.20	724.20	724.20	0.00	1.43	0.45
RABOBANK NEDERLAND NV NY CD DTD 04/29/2013 0.600% 04/29/2015	21684BEP5	900,000.00	GOLDMAN		99.64	896,761.80	(3,238.20)	(3,238.20)	1.57	1.57	0.83





Managed Account Fair Market Value & Analytics

For the Month Ending **September 30, 2013**

CITY OF LAKEWOOD - 51260100

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	Broker	Next Call Date	Market Price	Market Value	Unreal G/L On Cost	Unreal G/L Amort Cost	Effective Duration	Duration to Worst at Mkt	YTM
Security Type Sub-Total		3,475,000.00				3,474,131.13	(735.62)	(756.49)	0.49	1.13	0.47
Managed Account Sub-Total		35,735,000.00				36,173,886.36	(58,969.63)	46,181.57	1.67	1.69	0.47
Securities Sub-Total		\$35,735,000.00				\$36,173,886.36	(\$58,969.63)	\$46,181.57	1.67	1.69	0.47%
Accrued Interest						\$75,858.31					
Total Investments						\$36,249,744.67					

Bolded items are forward settling trades.





Managed Account Security Transactions & Interest

For the Month Ending **September 30, 2013**

CITY OF LAKEWOOD - 51260100

Transaction Type	Trade	Settle	Security Description	CUSIP	Par	Principal Proceeds	Accrued Interest	Total	Realized G/L Cost	Realized G/L Amort Cost	Sale Method
BUY											
	09/26/13	10/02/13	UNIV OF CAL TXBL REV BONDS DTD 10/02/2013 0.528% 05/15/2015	91412GSW6	125,000.00	(125,000.00)	0.00	(125,000.00)			
	09/26/13	10/02/13	UNIV OF CAL TXBL REV BONDS DTD 10/02/2013 0.907% 05/15/2016	91412GSX4	75,000.00	(75,000.00)	0.00	(75,000.00)			
	09/27/13	10/02/13	GLAXOSMITHKLINE CAP INC GLOBAL NOTES DTD 03/18/2013 0.700% 03/18/2016	377372AG2	550,000.00	(549,609.50)	(149.72)	(549,759.22)			
Transaction Type Sub-Total					750,000.00	(749,609.50)	(149.72)	(749,759.22)			

INTEREST											
	09/04/13	09/04/13	BANK OF NEW YORK MELLON (CALLABLE) DTD 03/06/2013 0.700% 03/04/2016	06406HCG2	270,000.00	0.00	934.50	934.50			
	09/04/13	09/04/13	JOHN DEERE CAPITAL CORP DTD 09/07/2012 0.700% 09/04/2015	24422ERV3	125,000.00	0.00	437.50	437.50			
	09/04/13	09/04/13	BANK OF NEW YORK MELLON (CALLABLE) DTD 03/06/2013 0.700% 03/04/2016	06406HCG2	340,000.00	0.00	1,176.78	1,176.78			
	09/06/13	09/06/13	BANK OF NOVA SCOTIA HOUS CERT DEPOS (FLT) DTD 03/06/2013 0.500% 03/06/2015	06417FPL8	850,000.00	0.00	1,106.89	1,106.89			
	09/15/13	09/15/13	US TREASURY NOTES DTD 03/15/2012 0.375% 03/15/2015	912828SK7	1,850,000.00	0.00	3,468.75	3,468.75			
	09/15/13	09/15/13	US TREASURY NOTES DTD 09/15/2011 0.250% 09/15/2014	912828RG7	950,000.00	0.00	1,187.50	1,187.50			
	09/15/13	09/15/13	US TREASURY NOTES DTD 03/15/2012 0.375% 03/15/2015	912828SK7	120,000.00	0.00	225.00	225.00			
	09/18/13	09/18/13	STANDARD CHARTERED BK NY LT CD (FLOAT) DTD 03/18/2013 0.339% 03/18/2014	85325BVS0	850,000.00	0.00	243.71	243.71			





Managed Account Security Transactions & Interest

For the Month Ending **September 30, 2013**

CITY OF LAKEWOOD - 51260100

Transaction Type		Security Description	CUSIP	Par	Principal	Accrued	Total	Realized G/L	Realized G/L	Sale
Trade	Settle				Proceeds	Interest		Cost	Amort Cost	Method
INTEREST										
09/22/13	09/22/13	FREDDIE MAC GLOBAL NOTES DTD 08/05/2011 0.750% 09/22/2014	3134G2WG3	1,650,000.00	0.00	6,187.50	6,187.50			
09/22/13	09/22/13	FREDDIE MAC GLOBAL NOTES DTD 08/05/2011 0.750% 09/22/2014	3134G2WG3	430,000.00	0.00	1,612.50	1,612.50			
09/30/13	09/30/13	FANNIE MAE GLOBAL NOTES DTD 02/15/2013 0.500% 03/30/2016	3135G0VA8	610,000.00	0.00	1,525.00	1,525.00			
Transaction Type Sub-Total				8,045,000.00	0.00	18,105.63	18,105.63			
SELL										
09/25/13	10/02/13	FANNIE MAE GLOBAL NOTES DTD 04/19/2012 0.500% 05/27/2015	3135G0KM4	205,000.00	205,762.60	355.90	206,118.50	1,355.05	1,079.30	SPEC LOT
09/27/13	10/02/13	FANNIE MAE GLOBAL NOTES DTD 04/19/2012 0.500% 05/27/2015	3135G0KM4	100,000.00	100,385.00	173.61	100,558.61	674.00	539.49	SPEC LOT
09/27/13	10/02/13	US TREASURY NOTES DTD 08/02/2010 1.750% 07/31/2015	912828NP1	450,000.00	461,812.50	1,348.17	463,160.67	(6,539.06)	173.80	SPEC LOT
Transaction Type Sub-Total				755,000.00	767,960.10	1,877.68	769,837.78	(4,510.01)	1,792.59	
Managed Account Sub-Total					18,350.60	19,833.59	38,184.19	(4,510.01)	1,792.59	
Total Security Transactions					\$18,350.60	\$19,833.59	\$38,184.19	(\$4,510.01)	\$1,792.59	

Bolded items are forward settling trades

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COUNCIL AGENDA

November 12, 2013

TO The Honorable Mayor and City Council**SUBJECT** Monthly Report of Investment Transactions**INTRODUCTION**

In accordance with California Government Code Section 53607, the City Council has delegated to the City Treasurer the responsibility to invest or to reinvest funds, or to sell or exchange securities so purchased.

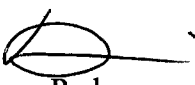
The California Government Code Section 53607 requires that, if such responsibility has been delegated, then the Treasurer "shall make a monthly report of those transactions to the legislative body" In compliance with this requirement, the Monthly Report of Investment Transactions is being rendered to be received and filed.

STATEMENT OF MONTHLY ACTIVITY

<u>Date</u>	<u>Amount at Cost</u>	<u>Vehicle</u>	<u>Transaction</u>
10-01-2013	\$ 100,049 08	TREAS	Sell
10-01-2013	\$ 630,427 78	FHLMC	Sell
10-01-2013	\$ 284,184.21	FNMA	Purchase
10-01-2013	\$ 421,299.58	FNMA	Purchase
10-03-2013	\$ 313,903 80	CORP	Purchase
10-04-2013	\$ 328,837 64	TREAS	Sell
10-07-2013	\$ 997.30	CD	Interest 0.423%
10-15-2013	\$ 9,078 98	LAIF	Interest 0.26%
10-15-2013	\$ 1,709 91	CORP	Interest 0.894%
10-17-2013	\$ 1,106 89	CORP	Interest 0.875%
10-18-2013	\$ 240.30	CD	Interest 0.336%
10-23-2013	\$ 700 00	CORP	Interest 0.7%
10-29-2013	\$ 2,745 00	CD	Interest 0.6%
10-31-2013	\$ 1,802,226 00	FNMA	Call
10-31-2013	\$ 1,795,263 53	TREAS	Purchase
10-31-2013	\$ 3.59	CAMP	Interest 0.1%
10-31-2013	\$ 55 64	CAMP	Interest 0.1%

STAFF RECOMMENDATION

It is recommended that the City Council receive and file the Monthly Report of Investment Transactions rendered for the month of October 2013


Diane Perkin
Director of Administrative Services

Howard L. Chambers
City Manager



D I V I D E R S H E E T

TO: The Honorable Mayor and City Council

SUBJECT Acceptance of Notice of Completion – Public Works Contract No 2013-02
Arbor Yard Office Building Lighting Replacement Project

INTRODUCTION

J Kim Electric, Inc., the Contractor on Public Works Contract No 2013-02, has completed the lighting replacement project at the Arbor Yard office building. Staff recommends acceptance of the project, and filing of the *Notice of Completion* on this contract.


STATEMENT OF FACT


On June 25, 2013, the Council awarded Public Works Contract No 2013-02 to J Kim Electric, Inc., in the amount of \$16,500 for replacement of the ceiling light fixtures in the Water Operations office building in Arbor Yard. There were no change orders on the contract. The replacements were completed with minimal disruption to the Water Resources Department personnel, who are enjoying the increased light level and energy efficient fixtures.

RECOMMENDATION

Staff recommends that the City Council

- (1) Accept the work performed by J Kim Electric, Inc., in the contract amount of \$16,500, and authorize the City Clerk to file the Notice of Completion for Public Works Contract No 2013-02

Lisa Ann Rapp 
Director of Public Works

Howard L. Chambers 
City Manager

DIVIDER SHEET

Public Hearings

ORDINANCE NO 2013-8

AN ORDINANCE OF THE CITY OF LAKEWOOD AMENDING
THE DEFINITION OF SMOKING TO INCLUDE ELECTRONIC
CIGARETTES AND SIMILAR DEVICES

THE CITY COUNCIL OF THE CITY OF LAKEWOOD DOES ORDAIN AS FOLLOWS

SECTION 1 The following language is hereby added to the definitions of "Smoking" contained in Sections 5527 and 5552 (F) of the Lakewood Municipal Code:

"Smoking also means and includes the holding or possession and control of any burning, lighted, operating or functioning electronic and/or battery operated device, that can be used to deliver an inhaled dose of nicotine or other substances, including but not necessarily limited to any device manufactured, distributed, marketed or sold as an electronic cigarette, cigar, cigarillo or pipe, or any similar name or description."

SECTION 2. The City Council hereby declares it would have passed this Ordinance sentence by sentence, paragraph by paragraph and section by section, and does hereby declare the provisions of this Ordinance are severable, and if for any reason any section of this Ordinance should be held invalid, such decision shall not affect the validity of the remaining parts of this Ordinance.

SECTION 3 The City Clerk shall certify to the adoption of this Ordinance. The City Council hereby finds and determines there are no newspapers of general circulation both published and circulated within the City and, in compliance with Section 36933 of the Government Code, directs the City Clerk to cause said Ordinance within fifteen (15) days after its passage to be posted in at least three (3) public places within the City as established by Ordinance.

ADOPTED AND APPROVED THIS ____ DAY OF _____, 2013, BY THE
FOLLOWING ROLL CALL VOTE

	AYES	NAYS	ABSENT
Council Member Rogers	_____	_____	_____
Council Member Piazza	_____	_____	_____
Council Member DuBois	_____	_____	_____
Council Member Wood	_____	_____	_____
Mayor Croft	_____	_____	_____

Mayor

ATTEST

City Clerk

D I V I D E R S H E E T

Legislation

CITY COUNCIL AGENDA

November 12, 2013

TO: The Honorable Mayor and City Council

SUBJECT: Proposed ordinance pertaining to the establishment of tobacco and nicotine product sales in the C-4 (General Commercial) Zone

INTRODUCTION

On November 7, 2013 the Planning and Environment Commission (PEC) considered an amendment to the Lakewood Municipal Code (LMC) that would require a conditional use permit (CUP) and establish locational requirements for new tobacco and nicotine product sales facilities. The proposed ordinance was prepared in response to a City Council request. The PEC recommended that the City Council adopt the proposed ordinance.

The City of Lakewood currently does not have locational standards or a conditional use permit requirement for new stores whose primary business is to sell tobacco, tobacco paraphernalia, electronic cigarettes and/or vaporizing devices capable of delivering inhalable nicotine products. The proposed ordinance would establish such requirements for any new tobacco stores, smoke shops with associated paraphernalia, stores specializing in electronic cigarettes and/or vaporizing devices with inhalable nicotine and/or a combination of the above as the primary business. The concern expressed was the unwanted exposure of these products for sale in areas catering to children and families, as they may unduly encourage children to use nicotine products.

Prior to drafting the proposed tobacco and nicotine product ordinance, the Community Development staff did study the current status of regulation of these devices by Federal and State agencies, as well as by other California cities. Staff reviewed the status of the industry that is producing, wholesaling and retailing tobacco products, tobacco delivery devices including accessories and paraphernalia associated with the use and consumption of tobacco products, vapor devices and e-cigarettes. Staff conducted a survey of surrounding cities to find out what, if any, regulations had been implemented.

Staff found that a few cities had established interim moratorium ordinances to allow additional study of this industry; among these were Duarte, Covina, Seal Beach, Bellflower and Cerritos. Pico Rivera had an initial moratorium before they adopted an ordinance classifying e-cigarettes as tobacco products and therefore regulating their use as a traditional tobacco related product.

Some cities had regulations in place, examples of these are:

- The City of Claremont classifies e-cigarettes as a Tobacco Specialty Use and requires a CUP;
- The City of Montclair also requires a CUP and had amended their code to require distance requirements of 1,000 from public and private schools and from each other.
- Hemet, Santa Rosa and National City all require a CUP for Tobacco uses and classified e-cigarettes in that category.

STATEMENT OF FACTS

Lakewood's comprehensive zoning ordinance (Ordinance No. 141) was first adopted on January 2, 1958. Ordinance 141 enacted LMC Section 9302, regarding Definitions and LMC Section 9350, which established the C-4 (General Commercial) zone. The proposed ordinance would amend both of these sections to add definitions, zoning and locational criteria for tobacco and nicotine product sales.

The ordinance contains provisions to exempt existing stores that have a valid business license. Specifically, the proposed ordinance exempts existing stores from LMC Section 9392.A.1 regarding nonconformity – abatement and termination. Staff identified 11 such retail stores that are currently operating in the City, including one kiosk in the Lakewood Center Mall. If the ordinance is adopted, the existing uses may continue to operate with a valid business license. However, if the current business license lapses or the business proposes to expand or relocate they would be subject to the proposed standards. They would have to be located in a C-4, M-1 or M-2 zone with a CUP and meet the 1,000 foot locational standards.

PROPOSED ORDINANCE

The proposed ordinance would amend the Lakewood Municipal Code by adding:

- Subsection 9302.44.e TOBACCO AND NICOTINE PRODUCT SALES to Section 9302. DEFINITIONS of Chapter 3 Zoning of Article IX.
- Subsection 9350.B.12 regarding tobacco and nicotine product sales to Section 9350 Uses Permitted of Part 5 C-4 (General Commercial) Zone Regulations of Chapter 3 Zoning of Article IX.

The purpose of this regulation is to restrict the location of new retail stores and other sales facilities (kiosks, carts and/or vending machines) whose primary use is the sales of tobacco and nicotine products. Primary use will be defined as greater than either 50% of annual gross sales or 50% of retail sales display area.

Stores and sales facilities with such qualifying sales or display areas would be limited to the C-4 and less restrictive zones (i.e. M-1 and M-2), subject to a conditional use permit. The proposed ordinance would prohibit the establishment of such stores and sales facilities within 1,000 feet of the property boundary of facilities primarily devoted to providing general services to families and their children. These facilities include any church, public or private school, or college, library, public playground or park, building and facilities owned and maintained by any public entity for the public use, or any noncommercial establishment operated by a bona fide religious organization, or any establishment likely to be used primarily by minors. Implementation of this ordinance will reduce the number of locations where tobacco and nicotine-oriented retail facilities can be established in the City in the future.

Ordinance Pertaining to Tobacco and Nicotine Product Sales

November 12, 2013

Page 3


The proposed ordinance defines the following terms:

- "Tobacco and nicotine product sales" - A store or other sales facility including a vending machine, whose primary use and main purpose is the retail sale or wholesale of tobacco products and/or devices, accessories and paraphernalia associated with the use and consumption of tobacco products.
- "Tobacco products" includes but is not limited to cigarettes, cigars, pipe tobacco, chewing tobacco and any device designed and marketed for the consumption of such products. It also includes electronic cigarettes and other devices that are capable of delivering an inhalable dose of nicotine as a vaporized solution, whether or not such devices are filled with a solution that contains nicotine.

RECOMMENDATION

On November 7, 201, the Planning and Environment Commission adopted Resolution 25-2013 recommending that the City Council adopt the proposed ordinance. Staff recommends that the City Council introduce the proposed ordinance to add Subsections 9302.44.e and 9350.B.12 to the Lakewood Municipal Code, regarding tobacco and nicotine product sales along with the related Negative Declaration.

Sonia Dias Southwell 
Director of Community Development

Howard L. Chambers 
City Manager

RESOLUTION NO. 25-2013

A RESOLUTION OF THE PLANNING AND ENVIRONMENT COMMISSION OF THE CITY OF LAKEWOOD RECOMMENDING WITH FINDINGS THAT THE CITY COUNCIL OF THE CITY OF LAKEWOOD ADOPT AN ORDINANCE AMENDING THE LAKEWOOD MUNICIPAL CODE PERTAINING TO THE ESTABLISHMENT OF TOBACCO AND NICOTINE PRODUCT SALES IN THE C-4 (GENERAL COMMERCIAL) ZONE, LAKEWOOD, CALIFORNIA.

THE PLANNING ENVIRONMENT COMMISSION OF THE CITY OF LAKEWOOD DOES HEREBY FIND, RESOLVE AND DETERMINE AS FOLLOWS:

SECTION 1. The Planning and Environment Commission, having had submitted to it the proposed ordinance pertaining to the establishment of tobacco and nicotine product sales in the C-4 (General Commercial) zone, along with exhibits thereof, hereby submits its report and recommendation to the City Council.


SECTION 2. The Planning and Environment Commission hereby reports that a public hearing on the proposed ordinance pertaining to the establishment of tobacco and nicotine product sales in the C-4 (General Commercial) zone, was held before it in the City Council Chambers, 5000 Clark Avenue, Lakewood, California, on November 7, 2013, pursuant to notice given in the time and manner required by law. A summary of the hearing is set forth in the Minutes of the Planning and Environment Commission, attached hereto and made a part hereof. The Secretary of the Commission is directed to attach said Minutes to the Resolution as said summary when prepared, whether or not first approved by the Planning and Environment Commission.

SECTION 3. Pursuant to the terms and provisions of the California Environmental Quality Act Guidelines, as amended, the Director of Community Development has caused to be prepared an Environmental Checklist and Initial Study, pursuant to Section 15070 of the California Environmental Quality Act, as amended. Based on the findings of the Environmental Checklist and Initial Study, the Planning and Environment Commission recommends that the City Council approve a Negative Declaration for this project.

SECTION 4. Based on the aforementioned findings, the Planning and Environment Commission recommends to the City Council that the City Council prepare and adopt, following the holding of a public hearing as required by law, the proposed ordinance pertaining to the establishment of tobacco and nicotine product sales in the C-4 (General Commercial) zone.

ADOPTED AND APPROVED this 7th day of November, 2013, by the Planning and Environment Commission of the City of Lakewood voting as follows:

AYES:	COMMISSIONERS: Stuckey, Quarto, Wade, McKinnon
NOES:	COMMISSIONERS: None
ABSENT:	COMMISSIONERS: Samaniego
ABSTAIN:	COMMISSIONERS: None

_____
Jan McKinnon, Chairperson

ATTEST:

Sonia Dias Southwell, AICP, Secretary

ORDINANCE NO. 2013-9

AN ORDINANCE OF THE CITY COUNCIL OF THE CITY OF LAKEWOOD AMENDING THE LAKEWOOD MUNICIPAL CODE PERTAINING TO THE ESTABLISHMENT OF TOBACCO AND NICOTINE PRODUCT SALES IN THE C-4 (GENERAL COMMERCIAL) ZONE.

THE CITY COUNCIL OF THE CITY OF LAKEWOOD DOES ORDAIN AS FOLLOWS:

SECTION 1. Article IX Planning - Zoning of the Lakewood Municipal Code is hereby amended as provided in this Ordinance pursuant to Public Hearings before the Planning and Environment Commission and the City Council.

SECTION 2. CEQA. The City Council finds that an Initial Study has been prepared for the proposed project, pursuant to Section 15063 of the California Environmental Quality Act Guidelines, as amended. A Negative Declaration has been prepared for the project, pursuant to Section 15070, et. seq., of the Guidelines. The project was found to have no significant effect on the environment. Therefore, said Negative Declaration is hereby approved.

SECTION 3. PURPOSE. This ordinance is prepared to establish locational standards for new tobacco and nicotine product sales facilities in the C-4 (General Commercial) zone. The purpose of this regulation is to restrict the location of new tobacco and nicotine product sales to the General Commercial and less restrictive zones, and to prohibit their location in close proximity to facilities primarily devoted to providing general services to families and their children; thereby limiting the number of locations where tobacco and nicotine-oriented uses may be located in the City. There is a need to establish these standards to reduce exposure of children and families to an increasing interest in tobacco and tobacco/nicotine-related products caused by the growing popularity of nicotine vaporizing devices.

SECTION 4. Section 9302. DEFINITIONS of Chapter 3 Zoning of Article IX of the Lakewood Municipal Code is amended by adding Subsection 9302.44.e TOBACCO AND NICOTINE PRODUCT SALES to read as follows:

9302.44.e TOBACCO AND NICOTINE PRODUCT SALES. A store or other sales facility including a vending machine, whose primary use and main purpose is the retail sale or wholesale of tobacco products and/or devices, accessories and paraphernalia associated with the use and consumption of tobacco products. "Tobacco products" shall include but are not limited to cigarettes, cigars, pipe tobacco, chewing tobacco and any device designed and marketed for the consumption of such products. "Tobacco products" shall also include electronic cigarettes and other devices that are capable of delivering an inhalable dose of nicotine as a vaporized solution, whether or not such devices are filled with a solution that contains nicotine. "Primary Use" for the purposes of this section shall mean a store or other sales facility that has either:

1. Annual gross retail sales of tobacco and tobacco related products that are greater than fifty percent (50%) of the total annual gross retail sales, and/or;
2. A retail sales display area that utilizes more than fifty percent (50%) of the total sales display area for the promotion and sale of tobacco and tobacco related products, including electronic cigarettes and other devices that are capable of delivering an inhalable dose of nicotine as a vaporized solution.

SECTION 5. Section 9350 Uses Permitted of Part 5 C-4 (General Commercial) Zone Regulations of Chapter 3 Zoning of Article IX of the Lakewood Municipal Code is amended by adding Subsection 9350.B.12 regarding tobacco and nicotine product sales, to read as follows:

9350. USES PERMITTED.

B. USES PERMITTED SUBJECT TO CONDITIONAL USE PERMIT. The following uses are permitted provided that in each instance a conditional use permit has been obtained and continues in full force and effect:

12. TOBACCO AND NICOTINE PRODUCT SALES. Sales of tobacco and nicotine products may be established in the C-4 zone or less restrictive zone districts, subject to a conditional use permit. No conditional use permit shall be issued for a tobacco and nicotine product sales facility in violation of the following:

(a) No portion of the tobacco and nicotine product sales facility shall be within one thousand (1,000) feet of the property boundary of any church, public or private school, or college, library, public playground or park, building and facilities owned and maintained by any public entity for the public use, or any noncommercial establishment operated by a bona fide religious organization, or any establishment likely to be used primarily by minors;

(b) For the purpose of this subsection

(1) "public park or playground" includes parks, playgrounds, swimming pools, and athletic fields of the City, a school district, or a church or a religious organization.

(2) "Church" includes any building which is used primarily for religious worship and related religious activities, as well as the grounds thereof.

(3) "School or college" means an institution of learning which offers instruction and other courses of study required by the California Education Code, or which is maintained pursuant to standards set forth by the State Board of Education. This definition also includes nursery schools, kindergartens, day schools, elementary schools, junior high schools, senior high schools, community or junior colleges, colleges or universities, and any special institution of learning under the State Department of Education.

c) Notwithstanding the foregoing or the provisions of Section 9392.A.1 regarding nonconformity – abatement and termination, no conditional use permit shall be required for a tobacco and nicotine product sales facility

that was operating in any zone district with a valid business license issued by the City for that purpose prior to the effective date of this section as amended, provided that:

- (1) The facility operates continuously with a valid business license without substantial change in mode, character or expansion of the operation;
- (2) There is no break in continuous operation greater than one hundred eighty (180) days; and
- (3) Any repair or remodeling does not change the nature of the facility and does not increase the square footage of the business used for the sale of tobacco and nicotine products.

SECTION 6. SEVERABILITY. The City Council hereby declares it would have passed this Ordinance sentence by sentence, paragraph by paragraph and section by section, and does hereby declare the provisions of this Ordinance are severable, and if for any reason any section of this Ordinance should be held invalid, such decision shall not affect the validity of the remaining parts of this Ordinance.

SECTION 7. CERTIFICATION. The City Clerk shall certify to the adoption of this Ordinance. The City Council hereby finds and determines there are no newspapers of general circulation both published and circulated within the City and, in compliance with Section 36933 of the Government Code, directs the City Clerk to cause said Ordinance within fifteen (15) days after its passage to be posted in at least three (3) public places within the City as established by ordinance. This Ordinance shall take effect thirty (30) days after its adoption.

ADOPTED AND APPROVED THIS ____ DAY OF _____, 2013, BY THE FOLLOWING ROLL CALL VOTE:

	AYES	NAYS	ABSENT
Council Member Rogers	_____	_____	_____
Council Member Piazza	_____	_____	_____
Council Member DuBois	_____	_____	_____
Council Member Wood	_____	_____	_____
Mayor Croft	_____	_____	_____

Mayor

ATTEST:

City Clerk

DIVIDER SHEET

ORDINANCE NO 2013-7

AN ORDINANCE OF THE CITY COUNCIL OF THE CITY OF LAKEWOOD, CALIFORNIA, AMENDING THE LAKEWOOD MUNICIPAL CODE PERTAINING TO LOW IMPACT DEVELOPMENT

THE CITY COUNCIL OF THE CITY OF LAKEWOOD DOES FIND AND ORDAIN
AS FOLLOWS

SECTION 1 FINDINGS

A. The City of Lakewood is authorized by Article XI, §5 and §7 of the State Constitution to exercise the police power of the State by adopting regulations to promote public health, public safety and general prosperity

B The City of Lakewood has authority under the California Water Code to adopt and enforce ordinances imposing conditions, restrictions and limitations with respect to any activity which might degrade the quality of waters of the State.

C. The City is a permittee under the “Waste Discharge Requirements for Municipal Separate Storm Sewer System (MS4) Discharges within the Coastal Watersheds of Los Angeles County, Except those Discharges Originating from the City of Long Beach MS4,” issued by the California Regional Water Quality Control Board-Los Angeles Region,” (Order No R4-2012-0175) which also serves as a National Pollutant Discharge Elimination System (NPDES) Permit under the Federal Clean Water Act (NPDES No CAS004001), as well as Waste Discharge Requirements under California law (the “Municipal NPDES permit”) In order to participate in a Watershed Management Program and/or Enhanced Watershed Management Program, the Municipal NPDES permit requires permittees to develop and implement a Low Impact Development (LID) Ordinance.

D The City of Lakewood is committed to a stormwater management program that protects water quality and water supply by employing watershed-based approaches that balance environmental, social, and economic considerations.

E. Urbanization has led to increased impervious surface areas resulting in increased water runoff and less percolation to groundwater aquifers causing the transport of pollutants to downstream receiving waters.

F The City of Lakewood needs to take a new approach to managing rainwater and urban runoff while mitigating the negative impacts of development and urbanization.

G LID is widely recognized as a sensible approach to managing the quantity and quality of stormwater runoff by setting standards and practices to maintain or restore the natural hydrologic character of a development site, reduce off-site runoff, improve water quality, and provide groundwater recharge.

H. It is the intent of the City of Lakewood to replace the existing Standard Urban Stormwater Mitigation Plan (SUSMP) requirements by providing stormwater and rainwater LID strategies for Development and Redevelopment projects as defined under "Applicability." Where there are conflicts between this Ordinance and previously adopted SUSMP and/or LID standards, the standards in this Ordinance shall prevail.

I. The proposed LID Ordinance qualifies for a Class 8 California Exemption under the provisions of the California Environmental Quality Act (CEQA) Section 15308. Class 8 exempts actions taken by regulatory agencies as authorized by State or local ordinance to assure the maintenance, restoration, enhancement or protection of the environment where the regulatory process involves procedures for protection of the environment.

SECTION 2. Chapter 8 of Article V of the Lakewood Municipal Code pertaining to Stormwater and Runoff Pollution Control is hereby amended to repeal and delete Sections 5810 through 5832 and to add Section 5802 to read as follows.

5802. Low Impact Development. Provisions regarding the requirements for stormwater controls on private property are specified in Section 9379 et. seq. of the Lakewood Municipal Code.

SECTION 3 LOW IMPACT DEVELOPMENT ORDINANCE. Part 7 of Chapter 3 of Article IX of the Lakewood Municipal Code pertaining to General Provisions Relating to Uses is hereby amended by adding the following:

9379 LOW IMPACT DEVELOPMENT - DEFINITIONS

For the purposes of this Section, the following definitions apply:

A. AUTOMOTIVE SERVICE FACILITY Automotive Service Facility means a facility that is categorized in any one of the following Standard Industrial Classification (SIC) and North American Industry Classification System (NAICS) codes. For inspection purposes, Permittees need not inspect facilities with SIC codes 5013, 5014, 5541, 5511, provided that these facilities have no outside activities or materials that may be exposed to stormwater (Source: Order No. R4-2012-0175).

B. BASIN PLAN Basin Plan means the Water Quality Control Plan, Los Angeles Region, Basin Plan for the Coastal Watersheds of Los Angeles and Ventura Counties, adopted by the Regional Water Board on June 13, 1994 and subsequent amendments (Source: Order No. R4-2012-0175).

C. BEST MANAGEMENT PRACTICE (BMP). BMP means practices or physical devices or systems designed to prevent or reduce pollutant loading from stormwater or non-stormwater discharges to receiving waters, or designed to reduce the volume of stormwater or non-stormwater discharged to the receiving water (Source: Order No. R4-2012-0175).

D. BIOFILTRATION Biofiltration means a LID BMP that reduces stormwater pollutant discharges by intercepting rainfall on vegetative canopy, and through incidental infiltration and/or evapotranspiration, and filtration. Incidental infiltration is an important

factor in achieving the required pollutant load reduction. Therefore, the term “biofiltration” as used in this Ordinance is defined to include only systems designed to facilitate incidental infiltration or achieve the equivalent pollutant reduction as biofiltration BMPs with an underdrain (subject to approval by the Regional Board’s Executive Officer) Biofiltration BMPs include bioretention systems with an underdrain and bioswales (Modified from. Order No R4-2012-0175).

E. BIORETENTION Bioretention means a LID BMP that reduces stormwater runoff by intercepting rainfall on vegetative canopy, and through evapotranspiration and infiltration. The bioretention system typically includes a minimum 2-foot top layer of a specified soil and compost mixture underlain by a gravel-filled temporary storage pit dug into the in-situ soil. As defined in the Municipal NPDES permit, a bioretention BMP may be designed with an overflow drain, but may not include an underdrain. When a bioretention BMP is designed or constructed with an underdrain it is regulated by the Municipal NPDES permit as biofiltration (Modified from. Order No R4-2012-0175)

F BIOSWALE. Bioswale means a LID BMP consisting of a shallow channel lined with grass or other dense, low-growing vegetation. Bioswales are designed to collect stormwater runoff and to achieve a uniform sheet flow through the dense vegetation for a period of several minutes (Source Order No R4-2012-0175)

G CITY City means the City of Lakewood.

H. CLEAN WATER ACT (CWA). CWA means the Federal Water Pollution Control Act enacted in 1972, by Public Law 92-500, and amended by the Water Quality Act of 1987 The Clean Water Act prohibits the discharge of pollutants to Waters of the United States unless the discharge is in accordance with an NPDES permit.

I. COMMERCIAL DEVELOPMENT Commercial Development means any development on private land that is not heavy industrial or residential. The category includes, but is not limited to hospitals, laboratories and other medical facilities, educational institutions, recreational facilities, plant nurseries, car wash facilities, mini-malls and other business complexes, shopping malls, hotels, office buildings, public warehouses and other light industrial complexes (Order No R4-2012-0175)

J COMMERCIAL MALLS. Commercial Malls means any development on private land comprised of one or more buildings forming a complex of stores which sells various merchandise, with interconnecting walkways enabling visitors to easily walk from store to store, along with parking area(s) A commercial mall includes, but is not limited to mini-malls, strip malls, other retail complexes, and enclosed shopping malls or shopping centers (Source Order No R4-2012-0175)

K. CONSTRUCTION ACTIVITY Construction Activity means any construction or demolition activity, clearing, grading, grubbing, or excavation or any other activity that result in land disturbance. Construction does not include emergency construction activities required to immediately protect public health and safety or routine maintenance activities required to maintain the integrity of structures by performing minor repair and

restoration work, maintain the original line and grade, hydraulic capacity, or original purposes of the facility See "Routine Maintenance" definition for further explanation. Where clearing, grading or excavating of underlying soil takes place during a repaving operation, State General Construction Permit coverage by the State of California General Permit for Storm Water Discharges Associated with Industrial Activities or for Stormwater Discharges Associated with Construction Activities is required if more than one acre is disturbed or the activities are part of a larger plan (Source: Order No R4-2012-0175).

L. CONTROL. Control means to minimize, reduce or eliminate by technological, legal, contractual, or other means, the discharge of pollutants from an activity or activities (Source: Order No R4-2012-0175)

M. DEVELOPMENT Development means construction, rehabilitation, redevelopment or reconstruction of any public or private residential project (whether single-family, multi-unit or planned unit development); industrial, commercial, retail, and other non-residential projects, including public agency projects, or mass grading for future construction. It does not include routine maintenance to maintain original line and grade, hydraulic capacity, or original purpose of facility, nor does it include emergency construction activities required to immediately protect public health and safety (Source Order No R4-2012-0175).

N DIRECTLY ADJACENT Directly Adjacent means situated within 200 feet of the contiguous zone required for the continued maintenance, function, and structural stability of the environmentally sensitive area (Source: Order No R4-2012-0175)

O. DISCHARGE. Discharge means any release, spill, leak, pump, flow, escape, dumping, or disposal of any liquid, semi-solid, or solid substance.

P DISTURBED AREA. Disturbed Area means an area that is altered as a result of clearing, grading, and/or excavation (Source Order No R4-2012-0175).

Q FLOW-THROUGH BMPS. Flow-through BMPs means modular, vault type "high flow biotreatment" devices contained within an impervious vault with an underdrain or designed with an impervious liner and an underdrain (Modified from. Order No R4-2012-0175).

R. FULL CAPTURE SYSTEM. Full Capture System means any single device or series of devices, certified by the Executive Officer, that traps all particles retained by a 5 mm mesh screen and has a design treatment capacity of not less than the peak flow rate Q resulting from a one-year, one-hour storm in the sub-drainage area (Order No R4-2012-0175)

S. GENERAL CONSTRUCTION ACTIVITIES STORM WATER PERMIT (GCASP). GCASP means the general NPDES permit adopted by the State Board which authorizes the discharge of stormwater from construction activities under certain conditions.

T GENERAL INDUSTRIAL ACTIVITIES STORM WATER PERMIT (GIASP)

GIASP means the general NPDES permit adopted by the State Board which authorizes the discharge of stormwater from certain industrial activities under certain conditions.

U GREEN ROOF Green Roof means a LID BMP using planter boxes and vegetation to intercept rainfall on the roof surface. Rainfall is intercepted by vegetation leaves and through evapotranspiration. Green roofs may be designed as either a bioretention BMP or as a biofiltration BMP. To receive credit as a bioretention BMP, the green roof system planting medium shall be of sufficient depth to provide capacity within the pore space volume to contain the design storm depth and may not be designed or constructed with an underdrain (Source: Order No R4-2012-0175).

V HAZARDOUS MATERIAL(S). Hazardous Material(s) means any material(s) defined as hazardous by Division 20, Chapter 6.95 of the California Health and Safety Code.

W HILLSIDE Hillside means a property located in an area with known erosive soil conditions, where the development contemplates grading on any natural slope that is 25% or greater and where grading contemplates cut or fill slopes (Source: Order No R4-2012-0175)

X. IMPREVIOUS SURFACE. Impervious Surface means any man-made or modified surface that prevents or significantly reduces the entry of water into the underlying soil, resulting in runoff from the surface in greater quantities and/or at an increased rate, when compared to natural conditions prior to development. Examples of places that commonly exhibit impervious surfaces include parking lots, driveways, roadways, storage areas, and rooftops. The imperviousness of these areas commonly results from paving, compacted gravel, compacted earth, and oiled earth.

Y INDUSTRIAL/COMMERCIAL FACILITY Industrial/Commercial Facility means any facility involved and/or used in the production, manufacture, storage, transportation, distribution, exchange or sale of goods and/or commodities, and any facility involved and/or used in providing professional and non-professional services. This category of facilities includes, but is not limited to, any facility defined by either the Standard Industrial Classifications (SIC) or the North American Industry Classification System (NAICS). Facility ownership (federal, state, municipal, private) and profit motive of the facility are not factors in this definition (Order No R4-2012-0175)

Z. INDUSTRIAL PARK. Industrial Park means land development that is set aside for industrial development. Industrial parks are usually located close to transport facilities, especially where more than one transport modalities coincide: highways, railroads, airports, and navigable rivers. It includes office parks, which have offices and light industry (Source: Order No. R4-2012-0175)

AA. INFILTRATION BMP Infiltration BMP means a LID BMP that reduces stormwater runoff by capturing and infiltrating the runoff into in-situ soils or amended

onsite soils. Examples of infiltration BMPs include infiltration basins, dry wells, and pervious pavement (Source: Order No R4-2012-0175).

BB. LOW IMPACT DEVELOPMENT (LID) LID consists of building and landscape features designed to retain or filter stormwater runoff (Source: Order No R4-2012-0175)

CC. MUNICIPAL SEPARATE STORM SEWER SYSTEM (MS4) The MS4 is a conveyance or system of conveyances (including roads with drainage systems, municipal streets, catch basins, curbs, gutters, ditches, manmade channels, or storm drains)

1. Owned or operated by a State, city, town, borough, county, parish, district, association, or other public body (created by or pursuant to State law) having jurisdiction over disposal of sewage, industrial wastes, stormwater, or other wastes, including special districts under State law such as a sewer district, flood control district or drainage district, or similar entity, or an Indian tribe or an authorized Indian tribal organization, or a designated and approved management agency under section 208 of the CWA that discharges to waters of the United States,

2. Designed or used for collecting or conveying stormwater;

3. Which is not a combined sewer; and

4. Which is not part of a Publicly Owned Treatment Works (POTW) as defined at 40 CFR §122.2. (40 CFR § 122.26(b)(8)) (Source: Order No R4-2012-0175)

DD. NATIONAL POLLUTANT DISCHARGE ELIMINATION SYSTEM (NPDES). NPDES means the national program for issuing, modifying, revoking and reissuing, terminating, monitoring and enforcing permits, and imposing and enforcing pretreatment requirements, under CWA §307, 402, 318, and 405. The term includes an “approved program” (Source: Order No R4-2012-0175).

EE. NATURAL DRAINAGE SYSTEM. Natural Drainage System means a drainage system that has not been improved (e.g., channelized or armored). The clearing or dredging of a natural drainage system does not cause the system to be classified as an improved drainage system (Source: Order No R4-2012-0175)

FF. NEW DEVELOPMENT New Development means land disturbing activities, structural development, including construction or installation of a building or structure, creation of impervious surfaces, and land subdivision (Source: Order No R4-2012-0175)

GG. NON-STORMWATER DISCHARGE. Non-Stormwater Discharge means any discharge to a municipal storm drain system that is not composed entirely of stormwater (Source: Order No R4-2012-0175)

HH. OUTFALL. Outfall means a point source as defined by 40 CFR 122.2 at the point where a municipal separate storm sewer discharges to waters of the United States and does not include open conveyances connecting two municipal separate storm sewers, or pipes, tunnels or other conveyances with connect segments of the same stream or other waters of the United States and are used to convey waters of the United States. (40 CFR Section 122.26(b)(9)) (Order No R4-2012-0175).

II. PARKING LOT Parking Lot means land area or facility for the parking or storage of motor vehicles used for businesses, commerce, industry, or personal use, with a lot size of 5,000 square feet or more of surface area, or with 25 or more parking spaces (Source Order No R4-2012-0175)

JJ PERSON Person means any individual, partnership, co-partnership, firm, company, corporation, association, joint stock company, trust, state, governmental entity or any other legal entity, or their legal representatives, agents or assigns. The masculine gender shall include the feminine and the singular shall include the plural where indicated by the context.

KK. PLANNING PRIORITY PROJECTS. Planning Priority Projects means development projects subject to Permittee conditioning and approval for the design and implementation of post-construction controls to mitigate stormwater pollution, prior to completion of the project(s) (Modified from. Order No R4-2012-0175)

LL. POLLUTANT Pollutant means any "pollutant" defined in Section 502(6) of the Federal Clean Water Act or incorporated into the California Water Code Sec. 13373 Pollutants may include, but are not limited to the following:

1. Commercial and industrial waste (such as fuels, solvents, detergents, plastic pellets, hazardous substances, fertilizers, pesticides, slag, ash, and sludge).
2. Metals (such as cadmium, lead, zinc, copper, silver, nickel, chromium, and non- metals such as phosphorus and arsenic).
3. Petroleum hydrocarbons (such as fuels, lubricants, surfactants, waste oils, solvents, coolants, and grease).
4. Excessive eroded soil, sediment, and particulate materials in amounts that may adversely affect the beneficial use of the receiving waters, flora, or fauna of the State.
5. Animal wastes (such as discharge from confinement facilities, kennels, pens, recreational facilities, stables, and show facilities)
6. Substances having characteristics such as pH less than 6 or greater than 9, or unusual coloration or turbidity, or excessive levels of fecal coliform, or fecal streptococcus, or enterococcus.

MM.PROJECT Project means all development, redevelopment, and land disturbing activities. The term is not limited to "Project" as defined under CEQA (Pub Resources Code §21065) (Source Order No R4-2012-0175).

NN RAINFALL HARVEST AND USE. Rainfall Harvest and Use means a LID BMP system designed to capture runoff, typically from a roof but can also include runoff capture from elsewhere within the site, and to provide for temporary storage until the harvested water can be used for irrigation or non-potable uses. The harvested water may also be used for potable water uses if the system includes disinfection treatment and is approved for such use by the local building department (Source Order No R4-2012-0175)

OO. RECEIVEING WATER. Receiving Water means “water of the United States” into which waste and/or pollutants are or may be discharged (Source. Order No R4-2012-0175)

PP REDEVELOPMENT Redevelopment means land-disturbing activity that results in the creation, addition, or replacement of 5,000 square feet or more of impervious surface area on an already developed site. Redevelopment includes, but is not limited to the expansion of a building footprint; addition or replacement of a structure; replacement of impervious surface area that is not part of routine maintenance activity; and land disturbing activity related to structural or impervious surfaces. It does not include routine maintenance to maintain original line and grade, hydraulic capacity, or original purpose of facility, nor does it include emergency construction activities required to immediately protect public health and safety (Source Order No R4-2012-0175)

QQ. REGIONAL BOARD Regional Board means the California Regional Water Quality Control Board, Los Angeles Region.

RR. RESTAURANT Restaurant means a facility that sells prepared foods and drinks for consumption, including stationary lunch counters and refreshment stands selling prepared foods and drinks for immediate consumption (SIC Code 5812) (Source Order No R4-2012-0175).

SS. RETAIL GASOLINE OUTLET Retail Gasoline Outlet means any facility engaged in selling gasoline and lubricating oils (Source Order No R4-2012-0175)

TT ROUTINE MAINTENANCE. Routine Maintenance projects include, but are not limited to projects conducted to

- 1 Maintain the original line and grade, hydraulic capacity, or original purpose of the facility
2. Perform as needed restoration work to preserve the original design grade, integrity and hydraulic capacity of flood control facilities.
3. Includes road shoulder work, regrading dirt or gravel roadways and shoulders and performing ditch cleanouts.
4. Update existing lines¹ and facilities to comply with applicable codes, standards, and regulations regardless if such projects result in increased capacity
5. Repair leaks

Routine maintenance does not include construction of new² lines or facilities resulting from compliance with applicable codes, standards and regulations.

UU SIGNIFICANT ECOLOGICAL AREAS (SEAs). SEAs means an area that is determined to possess an example of biotic resources that cumulatively represent

¹ Update existing lines includes replacing existing lines with new materials or pipes.

² New lines are those that are not associated with existing facilities and are not part of a project to update or replace existing lines (Source: Order No. R4-2012-0175).

biological diversity, for the purposes of protecting biotic diversity, as part of the Los Angeles County General Plan. Areas are designated as SEAs, if they possess one or more of the following criteria.

1. The habitat of rare, endangered, and threatened plant and animal species.
2. Biotic communities, vegetative associations, and habitat of plant and animal species that are either one of a kind, or are restricted in distribution on a regional basis.
3. Biotic communities, vegetative associations, and habitat of plant and animal species that are either one of a kind or are restricted in distribution in Los Angeles County
4. Habitat that at some point in the life cycle of a species or group of species, serves as a concentrated breeding, feeding, resting, migrating grounds and is limited in availability either regionally or within Los Angeles County
5. Biotic resources that are of scientific interest because they are either an extreme in physical/geographical limitations, or represent an unusual variation in a population or community
6. Areas important as game species habitat or as fisheries.
7. Areas that would provide for the preservation of relatively undisturbed examples of natural biotic communities in Los Angeles County
8. Special areas (Source: Order No R4-2012-0175).

VV SITE. Site means land or water area where any “facility or activity” is physically located or conducted, including adjacent land used in connection with the facility or activity (Source: Order No R4-2012-0175)

WW STORM DRAIN SYSTEM. Storm Drain System means any facilities or any part of those facilities, including streets, gutters, conduits, natural or artificial drains, channels, and watercourses that are used for the purpose of collecting, storing, transporting or disposing of stormwater and are located within the City of Lakewood.

XX. STORM WATER OR STORMWATER. Storm Water or Stormwater means water that originates from atmospheric moisture (rain or snow) and that falls onto land, water, or other surfaces. Without any change in its meaning, this term may be spelled or written as one word or two separate words.

YY STORMWATER RUNOFF Stormwater Runoff means that part of precipitation (rainfall or snowmelt) which travels across a surface to the storm drain system or receiving waters.

ZZ. SUSMP SUSMP means the Los Angeles Countywide Standard Urban Stormwater Mitigation Plan. The SUSMP was required as part of the previous Municipal NPDES Permit (Order No 01-182, NPDES No CAS004001) and required plans that designate best management practices (BMPs) that must be used in specified categories of development projects.

AAA. URBAN RUNOFF Urban Runoff means surface water flow produced by storm and non-storm events. Non-storm events include flow from residential, commercial, or industrial activities involving the use of potable and non-potable water

9379 1. STORMWATER POLLUTION CONTROL MEASURES FOR DEVELOPMENT PLANNING AND CONSTRUCTION ACTIVITIES

A. OBJECTIVE. The provisions of this Section establish requirements for construction activities and facility operations of Development and Redevelopment projects to comply with the current “Order No R4-2012-0175,” lessen the water quality impacts of development by using smart growth practices, and integrate LID practices and standards for stormwater pollution mitigation through means of infiltration, evapotranspiration, biofiltration, and rainfall harvest and use. LID shall be inclusive of new development and/or redevelopment requirements.

B. SCOPE. This Section contains requirements for stormwater pollution control measures in Development and Redevelopment projects and authorizes the City of Lakewood to further define and adopt stormwater pollution control measures, develop LID principles and requirements, including but not limited to the objectives and specifications for integration of LID strategies, grant waivers from the requirements of the LID requirements, and collect funds for projects that are granted waivers. Except as otherwise provided herein, the City of Lakewood shall administer, implement and enforce the provisions of this Section.

C. APPLICABILITY The following Development and Redevelopment projects, termed “Planning Priority Projects,” shall comply with the requirements of Article IX, Section 9379 et seq The design of any required BMP’s shall be subject to plan check by Building and Safety prior to the issuance of building permits for the project.

1. Development Projects.

- (a) All development projects equal to 1 acre or greater of disturbed area that adds more than 10,000 square feet of impervious surface area.
- (b) Industrial parks 10,000 square feet or more of impervious surface area.
- (c) Commercial malls 10,000 square feet or more of impervious surface area.
- (d) Retail gasoline outlets with 5,000 square feet or more of impervious surface area.
- (e) Restaurants (Standard Industrial Classification (SIC) of 5812) with 5,000 square feet or more of impervious surface area.
- (f) Parking lots with 5,000 square feet or more of impervious surface area, or with 25 or more parking spaces.
- (g) Streets and roads construction of 10,000 square feet or more of impervious surface area.
- (h) Automotive service facilities (Standard Industrial Classification (SIC) of 5013, 5014, 5511, 5541, 7532-7534 and 7536-7539) 5,000 square feet or more of surface area.
- (i) Projects located in or directly adjacent to, or discharging directly to an Environmentally Sensitive Area (ESA), where the development will.
 - 1) Discharge stormwater runoff that is likely to impact a sensitive biological species or habitat; and

2) Create 2,500 square feet or more of impervious surface area.

(j) New single-family dwelling and accessory structures are exempt from the Development Project requirements, as are any projects approved subject to a previously approved Vesting Tentative Map

2. Redevelopment Projects.

(a) Land disturbing activity that results in the creation or addition or replacement of 5,000 square feet or more of impervious surface area on an already developed site on Planning Priority Project categories.

(b) Where Redevelopment results in an alteration to more than fifty percent of impervious surfaces of a previously existing development, and the existing development was not subject to post-construction stormwater quality control requirements, the entire project must be mitigated.

(c) Where redevelopment results in an alteration of less than fifty percent of impervious surfaces of a previously existing development, and the existing development was not subject to post-construction stormwater quality control requirements, only the alteration must be mitigated, and not the entire development.

(d) Redevelopment does not include routine maintenance activities that are conducted to maintain original line and grade, hydraulic capacity, original purpose of facility or emergency redevelopment activity required to protect public health and safety

(e) Impervious surface replacement, such as the reconstruction of parking lots and roadways which does not disturb additional area and maintains the original grade and alignment, is considered a routine maintenance activity

(f) Redevelopment does not include the repaving of existing roads to maintain original line and grade.

(g) Existing single-family dwelling and accessory structures are exempt from the Redevelopment requirements.

D STORMWATER POLLUTION CONTROL REQUIREMENTS. The Site for every Planning Priority Project shall be designed in conformance with the City of Lakewood's "Low Impact Development (LID) Best Management Practices (BMP) Design Manual" to control pollutants, pollutant loads, and runoff volume to the maximum extent feasible by minimizing impervious surface area and controlling runoff from impervious surfaces through infiltration, evapotranspiration, bioretention and/or rainfall harvest and use.

1 Street and road construction of 10,000 square feet or more of impervious surface shall follow the "City of Lakewood's Green Street Policy and Guidelines"

2. The remainder of Planning Priority Projects shall prepare a LID Plan to comply with the following:

(a) Retain stormwater runoff onsite for the Stormwater Quality Design Volume (SWQDV) defined as the runoff from.

(1) The 85th percentile 24-hour runoff event as determined from the Los Angeles County 85th percentile precipitation isohyetal map; or

(2) The volume of runoff produced from a 0.75 inch, 24-hour rain event, whichever is greater

(b) Minimize hydromodification impacts to natural drainage systems as defined in order NO R4-2012-0175

(c) When, as determined by the Approving Agency, 100 percent onsite retention of the SWQDv is technically infeasible, partially or fully, the infeasibility shall be demonstrated in the submitted LID Plan. The technical infeasibility may result from conditions that may include, but are not limited to

- (1) The infiltration rate of saturated in-situ soils is less than 0.3 inch per hour and it is not technically feasible to amend the in-situ soils to attain an infiltration rate necessary to achieve reliable performance of infiltration or bioretention BMPs in retaining the SWQDv onsite;
- (2) Locations where seasonal high groundwater is within five to ten feet of surface grade;
- (3) Locations within 100 feet of a groundwater well used for drinking water;
- (4) Brownfield development sites or other locations where pollutant mobilization is a documented concern,
- (5) Locations with potential geotechnical hazards,
- (6) Smart growth and infill or redevelopment locations where the density and/ or nature of the project would create significant difficulty for compliance with the onsite volume retention requirement.

(d) If partial or complete onsite retention is technically infeasible, the project Site may biofiltrate 1.5 times the portion of the remaining SWQDv that is not reliably retained onsite. Biofiltration BMPs must adhere to the design specifications provided in the Municipal NPDES Permit.

- (1) Additional alternative compliance options such as offsite infiltration may be available to the project Site.
- (2) The project Site should contact the Approving Agency to determine eligibility. Alternative compliance options are further specified in CASQA's Post-Construction BMP Handbook.

(e) The remaining SWQDv that cannot be retained or biofiltered onsite must be treated onsite to reduce pollutant loading. BMPs must be selected and designed to meet pollutant-specific benchmarks as required per the Municipal NPDES Permit. Flow-through BMPs may be used to treat the remaining SWQDv and must be sized based on a rainfall intensity of:

- (1) 0.2 inches per hour, or
- (2) The one year, one-hour rainfall intensity as determined from the most recent Los Angeles County isohyetal map, whichever is greater

(f) A Multi-Phased Project may comply with the standards and requirements of this section for all of its phases by:

- (1) Designing a system acceptable to the Approving Agency to satisfy these standards and requirements for the entire Site during the first phase, and
- (2) Implementing these standards and requirements for each phase of Development or Redevelopment of the Site during the first phase or prior to commencement of construction of a later phase, to the extent necessary to treat the stormwater from such later phase. For purposes of this section, "Multi-Phased Project" shall mean any Planning Priority Project implemented over more than one phase and the Site of a Multi-Phased Project shall include any land and water area designed and used to store, treat

or manage stormwater runoff in connection with the Development or Redevelopment, including any tracts, lots, or parcels of real property, whether Developed or not, associated with, functionally connected to, or under common ownership or control with such Development or Redevelopment.

E. BIENNIAL STORMWATER FACILITY PERMIT AND INSPECTION Each Planning Priority Project shall obtain and maintain a valid Biennial Stormwater Facility Permit issued by the Lakewood Public Works Department.

- 1 The Biennial Stormwater Facility Permit shall be obtained prior to clearance of the building permit final inspection.
2. Once every two years, or more often as need, an inspection shall be conducted to confirm that the BMP's are being maintained and are operating properly
- 3 The property owner shall correct any deficiency in the BMP's within 14 days of a notice of maintenance failure or other deficiency
- 4 The property owner shall pay the appropriate fees as established by separate resolution for the biennial permits and/or any special BMP inspections required for enforcement of the provisions of this Section.

F COVENANT AND AGREEMENT The property owner of each Planning Priority Project shall record with the County Recorder a "Covenant And Agreement Regarding On-site LID BMP Maintenance", to the satisfaction of the Public Works Director and prior to the clearance of the building permit final inspection, issuance of an occupancy permit or operation of the approved land use on the subject property

- 1 The transfer or lease of a property subject to maintenance requirements for LID BMPs shall include conditions requiring the transferee and its successors and assigns to either:
 - (a) Assume responsibility for maintenance of any existing LID BMP, or
 - (b) Replace an existing LID BMP with new control measures or BMPs meeting the then current standards of the City and MS4 Permit.
 - (c) Such requirement shall be included in any sale or lease agreement or deed for such property
2. The condition of transfer shall include a provision that the successor property owner or lessee conduct maintenance inspections of all LID BMPs at least once every two years and retain proof of inspection

SECTION 4 OTHER AGENCIES OF THE CITY All City departments, offices, entities and agencies, shall establish administrative procedures necessary to implement the provisions of this Article on their development projects and report their activities annually to the Public Works Department.

SECTION 5 SEVERABILITY The City Council hereby declares it would have passed this Ordinance sentence by sentence, paragraph by paragraph and section by section, and does hereby declare the provisions of this Ordinance are severable, and if for any reason any section of this Ordinance should be held invalid, such decision shall not affect the validity of the remaining parts of this Ordinance.

SECTION 6 The City Clerk shall certify to the adoption of this Ordinance. The City Council hereby finds and determines there are no newspapers of general circulation both published and circulated within the City and, in compliance with Section 36933 of the Government Code, directs the City Clerk to cause said Ordinance within fifteen (15) days after its passage to be posted in at least three (3) public places within the City as established by ordinance.

SECTION 7 This Ordinance shall become effective thirty (30) calendar days from and after its adoption.

ADOPTED AND APPROVED this ____ day of _____, 2013, by the following roll call vote

	AYES	NAYS	ABSENT
Council Member Rogers	_____	_____	_____
Council Member Piazza	_____	_____	_____
Council Member DuBois	_____	_____	_____
Council Member Wood	_____	_____	_____
Mayor Croft	_____	_____	_____

Mayor

ATTEST

City Clerk

I, DENISE R. HAYWARD, do hereby certify that I am the City Clerk of the City of Lakewood, and the foregoing Ordinance was adopted and approved by the City Council of the City of Lakewood voting for and against the Ordinance as above set forth at a regular meeting thereof on the ____ day of _____, 2013

City Clerk

D I V I D E R S H E E T

Successor Agency

**CITY OF LAKEWOOD SUCCESSOR AGENCY - PROJECT AREAS
FUND SUMMARY 10/31/2013**

In accordance with section 2521 of the Lakewood Municipal Code there is presented herewith a summary of obligations to be paid by voucher 34 through 34 Each of the following demands has been audited by the Director of Administrative Services and approved by the City Manager

2902	ENFORCEABLE OBLIGATIONS	70 00
		<hr/>
		70.00

Council Approval

Date

City Manager

Attest

City Clerk

Director of Administrative Services

**CITY OF LAKEWOOD SUCCESSOR AGENCY - PROJECT AREAS
SUMMARY CHECK REGISTER**

<u>CHECK #</u>	<u>CHECK DATE</u>	<u>VEND #</u>	<u>VENDOR NAME</u>	<u>GROSS</u>	<u>DISC.</u>	<u>CHECK AMOUNT</u>
34	10/31/2013	4428	COLANTUONO & LEVIN PC	70 00	0 00	70.00
			Totals:	<u>70.00</u>	<u>0.00</u>	<u>70.00</u>

DIVIDER SHEET

Housing Successor

**CITY OF LAKEWOOD SUCCESSOR AGENCY - HOUSING
FUND SUMMARY 10/31/2013**

In accordance with section 2521 of the Lakewood Municipal Code there is presented herewith a summary of obligations to be paid by voucher 32 through 33 Each of the following demands has been audited by the Director of Administrative Services and approved by the City Manager

3901	HOUSING SUCCESSOR AGENCY	18 031 00
		<hr/>
		18,031.00

Council Approval

Date

City Manager

Attest

City Clerk

Director of Administrative Services

**CITY OF LAKEWOOD SUCCESSOR AGENCY - HOUSING
SUMMARY CHECK REGISTER**

<u>CHECK #</u>	<u>CHECK DATE</u>	<u>VEND #</u>	<u>VENDOR NAME</u>	<u>GROSS</u>	<u>DISC.</u>	<u>CHECK AMOUNT</u>
32	10/31/2013	40572	CHICAGO TITLE CO	31 00	0 00	31 00
33	10/31/2013	4512	CLARK, BRIAN & BLUNT ELIZABETH AND	18,000.00	0 00	18,000 00
Totals:				<u>18,031.00</u>	<u>0.00</u>	<u>18,031.00</u>